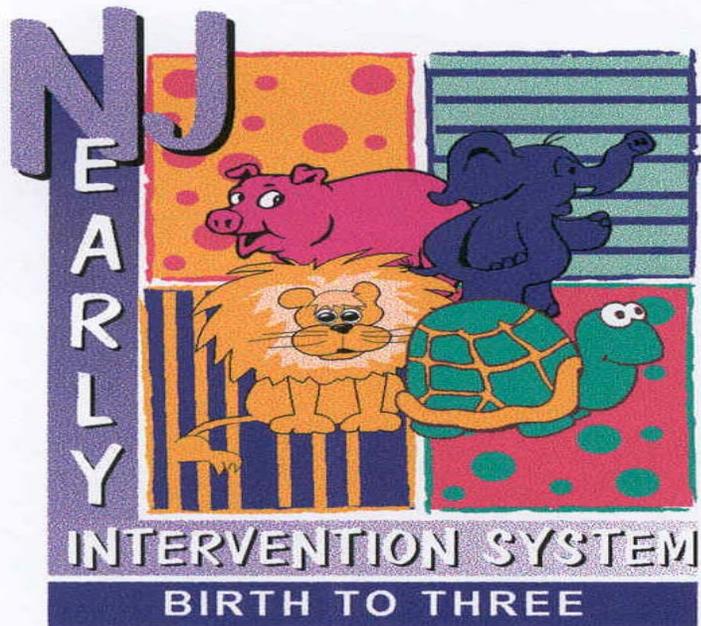


NEW JERSEY EARLY INTERVENTION SYSTEM

PART C STATE PERFORMANCE PLAN



**New Jersey Department of Health and Senior Services
Division of Family Health Services**



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**Updated/Revised: February 1, 2008
February 2, 2009
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Overview of Part C System in New Jersey

New Jersey is a geographically small northeastern state with a diverse population of 8,707,739 (11th) according to the 2009 estimated U.S. Census. Despite its small geographic size New Jersey ranks first as the most density populous state in the country (1,195.5 people per square mile). New Jersey is divided into three geographic regions: they are North Jersey, Central Jersey and South Jersey. North Jersey is within New York City's general area of influence; Central Jersey is largely suburban, while South Jersey is within Philadelphia's general area of influence. New Jersey has a twenty-one county governmental structure.

The U.S. Census Bureau estimates that New Jersey's median household income in 2009 was \$68,981. The 2009 U.S. Census estimates include 334,709 children under three years of age in New Jersey. New Jersey is made up of a very diverse population. The 2009 U.S. Census reported that 80.3% of the population was native to the United States and 19.7% was foreign born. The language breakdown for New Jersey residents was: 72.4% spoke English and 27.6% spoke a language other than English (of which 42% reported they did not speak English very well). Of the 27.6%, 50% spoke Spanish. The 2009 Kid Count reported that 13% of New Jersey's children under the age of 18 were below the federal poverty level (National average is 20%).

The New Jersey Department of Health and Senior Services (DHSS) is the designated State Lead Agency for the Early Intervention System established under Part C of the Individuals with Disabilities Education Act. New Jersey has participated in the federal program since 1987. The Early Intervention System was originally located within the Department of Education in New Jersey. On October 1, 1993, the responsibility for the Early Intervention System was transferred to the DHSS.

NJEIS has a system point of entry for children and families through four Regional Early Intervention Collaboratives (REICs) that covers the state's twenty-one counties. Grant/Contracts to the REICs and fifteen agencies that provide ongoing service coordination for the twenty-one counties are executed annually. Direct early intervention services are provided by approximately 80 Early Intervention Program (EIPs) provider agencies through contracts with NJEIS. EIPs are contracted to serve as a comprehensive agency, a service vendor agency, and/or a targeted evaluation team (TET). Comprehensive agencies are expected to serve as an early intervention home for a child and family, providing all identified services on the IFSP. Service vendors serve as a backup in providing services not available through a comprehensive agency. Individual practitioners must be enrolled with the NJEIS through one of the contracted EIPs.

The REICs are also responsible to facilitate family and community involvement in the NJEIS and assure that local resources are coordinated to assist families to meet the needs of their infants and toddlers with developmental delays and disabilities. The REICs are responsible for ensuring that families have an active voice in decision-making on Regional Boards. Each of the four REICs employs at least one full-time Training and Technical Assistance Coordinator and one full-time Family Support Coordinator. The Family Support Coordinator positions are required to be staffed by a parent of a child with a disability.

The mission of the NJEIS is to enhance the capacity of families to meet the developmental and health related needs of children birth to age three who have delays or disabilities by providing quality services and support to families and their children. Families from diverse racial, cultural, and socio-economic backgrounds will be involved in decision making at every level of the design, implementation, and evaluation of the Early Intervention System. The system will:

- Promote collaborative partnerships among the family, their community, service and health care providers, schools and child care programs that strengthen and enhance family competence to develop and use lasting networks of natural support.
- Provide a family centered approach which will be based upon the uniqueness of the family and its culture.

- Promote prompt service and support delivery in settings most natural and comfortable for the child and family and which foster opportunities for the development of peer relationships with children without disabilities.
- Reflect the current best practices in the field of early intervention in order to ensure uniformity of service delivery standards and yield the most positive outcome for the child and family.
- Recognize and respect the knowledge, beliefs, aspirations, values, culture and preference of families and utilize these for the planning and delivery of supports and services.
- Facilitate ongoing, system wide, participatory evaluation to ensure an effective and efficient Early Intervention System.

The NJEIS implements a general supervision system that identifies deficiencies, ensures verification of correction in a timely manner and promotes enhanced performance and results for children and families. This is accomplished through the Procedural Safeguards Office, Central Management Office, data performance analysis, agency self-assessment, family survey, incident reports, improvement plans, corrective actions, on-site focused monitoring, training, technical assistance, and enforcement. The established regional CSPD provides ongoing and continued availability of targeted training and technical assistance to program administrators, service coordinators, and service practitioners to address areas in need of improvement as well as areas of noncompliance as identified through general supervision activities.

Early intervention supports and services are provided in accordance with Part C statute and regulations. NJEIS policies and procedures are disseminated statewide in a variety of formats (hard copies, electronic files).

Overview of State Performance Plan Development

NJEIS has a long standing commitment to the involvement of stakeholders in the implementation of the Part C early intervention system. In the spring of 1998, the Commissioner of Health and Senior Services, convened a group of early intervention stakeholders asking them to develop a set of recommendations to advise him on ways to improve the New Jersey Early Intervention System in order to create a more efficient and effective, family-centered, outcome driven program. The goal of the stakeholder task force was to develop recommendations for 1999 and beyond for the New Jersey Early Intervention System (NJEIS). Final recommendations were presented to the Commissioner by December 31, 1998 and stakeholders were engaged to address the recommendations.

In order to complete a self-assessment for Part C of the Individuals with Disabilities Education Act (IDEA) Continuous Improvement Monitoring Process, the New Jersey Department of Health and Senior Services convened a Part C Steering Committee in the fall of 1999. Stakeholder membership included the State Interagency Coordinating Council (SICC) and members of the stakeholder task force. This committee has continued to work with the NJEIS on Annual Performance Reporting.

In August of 2005, the Part C Steering Committee was invited to participate in the stakeholder group to initiate the development of a State Performance Plan (SPP) for NJEIS (IDEA-Part C) Early Intervention System as required in the Individuals with Disabilities Education Improvement Act of 2004. The U.S. Department of Education Office of Special Education Programs provided guidance and technical assistance to prepare the State for the development of this plan. Members of the Part C Steering Committee were selected to represent diverse areas, including parent and advocacy groups (i.e.; the Parent Training and Information Center, SPAN), parents of children with disabilities, private and public service providers, State Interagency Coordinating Council (SICC), early intervention service coordinators, targeted evaluation teams, personnel preparation, child care, and preschool special education (IDEA-Part B), Head Start and Early Head Start. In addition, efforts were made to ensure that the makeup of the Part C Steering Committee reflected geographic, gender, and ethnic diversity. A national Part C consultant was employed to facilitate the work of the Part C Steering Committee, while staff support was provided by individuals from the NJEIS State Office.

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The initial meeting of the Part C Steering Committee was held on August 25, 2005, at which time the SPP purpose, intent, and format were introduced. Information about the alignment of the SPP with the federal Continuous Improvement Monitoring Process (CIMP), Continuous Improvement Focused Monitoring System (CIFMS), and Annual Performance Reporting requirements was presented. Two workgroups were established to review information and measurement options from national centers for addressing child and family indicators. Each workgroup was asked to develop recommendations for New Jersey for the collection and reporting of child and family outcomes data.

On November 9, 2005 a meeting was held to review each of the fourteen indicators provided by OSEP, the group examined information that was provided by the State Lead Agency and workgroups, including overview and description of the system, baseline data and related discussion and explanation of baseline, and improvement activities, timelines and resources. Stakeholders established measurable and rigorous targets for performance indicators (#2, 5, 6, and 13) and also recommended additional improvement activities, timelines and resources for each indicator. The group engaged in discussion of new indicators (#3 and 4) and provided recommendations to the State Lead Agency to assist in defining methodology and data collection processes to respond to these indicators. Finally, members reviewed the baseline data and status for each of the compliance indicators for which targets are 100% (#1, 7, 8, 9, 10, 11, and 14) and provided recommendations for additional improvement activities, timelines and resources for each indicator.

In November 2005, a draft version of New Jersey's Part C State Performance Plan was disseminated electronically to the Part C Steering Committee, including State Interagency Coordinating Council (SICC) members, for their review and distribution to colleagues and the constituencies they represent. Questions and comments on the draft SPP were accepted through November 22, 2005. Opportunities to provide input were also provided during the SICC November 18, 2005 meeting. Comments were incorporated into the SPP as appropriate and the plan was finalized and approved for submission to OSEP by December 2, 2005.

The NJEIS Part C Steering Committee has been reconvened annually to provide input on the preparation of the Annual Performance Report (APR). In addition, the SICC dedicates time at their January meeting to review and provide input on the draft APR. The Chair signs the Annual Report Certification of the SICC which is submitted with the APR.

The Part C Steering Committee meeting held on January 6, 2010 included a review of the current status of the state Part C system for the FFY'08 APR, the stakeholders convened also set targets and improvement activities for indicator #3. This activity involved reviewing progress data, summary statements and baseline data on indicator #3 in order to set annual targets and identify additional improvement activities. These improvement activities addressed both continued improvements in methodology as well as assisting provider agencies in identifying strategies to improve child outcomes.

On January 5, 2011 the Steering Committee met to:

- Review the current status of the NJEIS for the FFY'09 APR;
- Develop targets for all indicators for two additional years- FFY'11 and FFY'12; and
- Develop improvement activities for all indicators for FFY'11 and FFY'12

New Jersey's Part C State Performance Plan is disseminated to the public through posting to the NJEIS webpage <http://nj.gov/health/fhs/eis/report.shtml> and www.njeis.org website provided by the REICs. The SPP is also disseminated to all of the above individuals electronically for distribution through their dissemination mechanisms (e.g. newsletters, websites, listservs. etc) throughout the State.

The APRs submitted each February beginning in 2007 and annual local public reports are available on the NJEIS website at: <http://www.state.nj.us/health/fhs/eis/report.shtml>.

The NJEIS is very fortunate to have an extremely committed group of individuals who spend considerable time working on early intervention state, regional and local initiatives.

Part C State Performance Plan (SPP) for FFY 2005-2012

Overview of the State Performance Plan Development: See overview description on page two

Monitoring Priority: Early Intervention Services In Natural Environments

Indicator 1: Percent of infants and toddlers with IFSPs who receive the early intervention services on their IFSPs in a timely manner.

(20 U.S.C. 1416(a)(3)(A) and 1442)

Measurement:

Percent = [(# of infants and toddlers with IFSPs who receive the early intervention services on their IFSPs in a timely manner) divided by the (total # of infants and toddlers with IFSPs)] times 100.

Account for untimely receipt of services, including the reasons for delays.

Overview of Issue/Description of System or Process:

NJEIS has established with Part C Steering Committee input a policy for “timely services” as “All services are provided within 30 calendar days from the date the initial IFSP is signed by the parents documenting consent for the services on the IFSP.”

- Each approved early intervention provider agency and service coordination unit is required to provide necessary documentation to complete enrollment with the NJEIS for both the agency and all practitioners providing early intervention services. The enrollment process serves as a monitoring tool to ensure that all practitioners meet personnel standards. As of FFY 2010 (SFY 2011) the NJEIS has 15 Service Coordinator Units and approximately 79 Early Intervention Program (EIP) Provider Agencies
- A child/family’s eligibility and related IFSP services are delivered in accordance with the following procedures:
 - NJEIS and/or Regional Early Intervention Collaboratives (REICs) maintain a listing identifying the agencies providing services for each county by:
 - Status as an evaluation team, comprehensive agency and/or service vendor agency.
 - Status as a primary or back-up agency for a specified county.
 - REIC regional service areas where comprehensive provider agencies have agreed to assigned geographic areas serving as the primary, secondary ...agency.
- The county service coordination unit emails to all potential EIPs a notice (Broadcast) requesting a response to accept assignment of a child in need of services within five (5) business days of the request. The notice will:
 - Identify the county, town and zip code of the child’s address.
 - Identify service needs including:
 - Service type(s)
 - Specific knowledge, skills or expertise needed
 - Translation services when needed
 - IFSP date
 - Intensity & Frequency
 - Location
 - Request the EIP’s ability to provide full or partial services.
 - Request the actual start date for each service.
- Service coordinators review the EIP responses and assign the child on the fifth day following the date of request, based on the following criteria:
 - Timely response within five (5) business days of the request.
 - Ability to meet the total service needs of the child and family (act as the comprehensive EI home program).

- Priority order of assignment agreed to by agencies for the county, if relevant.
- If a comprehensive EIP is unable to provide the total service needs, the service coordinator moves down the priority listing of both comprehensive and service vendor agencies until an EIP is identified to provide comprehensive services.
- If none of the EIPs responding to the notice can meet the total needs of the child and family, the service coordinator assigns the child to the first EIP that can provide the most comprehensive services. The service coordinator identifies a service vendor for the remaining service needs. The service vendor remains as the provider of the specific service until the periodic review of the IFSP or until it can no longer meet the service needs.
- In order to ensure services are provided within the state policy for timely services, when no practitioner is available within the state provider network, a family is authorized by the Procedural Safeguards Office to utilize a provider outside the state network to provide the early intervention service.
- If a service vendor is assigned to provide the total comprehensive services for a child and family, the agency has the right to remain as the assigned EIP for the duration of the child's enrollment or until the service vendor can no longer meet the service needs, whichever occurs earlier.
- When necessary, the service coordinators assign additional assessments to the comprehensive EIP providing the most service needs of the child and family. If the comprehensive EIP cannot complete the assessment in a timely manner, the service coordinator contacts the Targeted Evaluation Team to conduct the assessment.

Baseline Data for FFY 2004 (2004-2005):

71% of infants and toddlers with IFSPs receive the early intervention services on their IFSPs in a timely manner.

88% of infants and toddlers with IFSPs receive the early intervention services on their IFSPs within 45 days of the IFSP consent date.

Discussion of Baseline Data:

NJEIS needs to emphasize that these data do not adequately reflect performance in this area at this time. The current electronic System Point of Entry (SPOE) data system is designed to collect the IFSP consent date, authorization start date and practitioner(s) for each service agreed to within the IFSP and claims information that identifies the date each service is provided for the first time. The new requirement for a definition of timely and the measurement for this indicator provided under the SPP were not made available to states until August 2005. A significant and costly revision to the NJEIS data collection system is necessary in order to accurately respond to this requirement. Revisions of this nature can take up to twelve months to implement. Therefore NJEIS is providing the data as required but cannot attest to the accuracy of this data and anticipates a baseline revision in the 2007 APR.

There are a number of issues with the current data system that hinder the ability to accurately report on the provision of timely services. These include:

- The current electronic data system does not capture family reasons for delay, child illness, and hospitalization.
- The current electronic data system does not distinguish between the first date the service was provided based on the initial IFSP consent and the initial date of the provision of a new service added through an IFSP review. In addition, the electronic data system does not document the date a revision is made to the IFSP, therefore it can not distinguish the time lapse between IFSP consent and provision of a new service. As a result, the data may inaccurately conclude untimely provision of a service to a child when in fact the services on the initial IFSP were provided within the state's definition of timely.
- In order to ensure services are provided within the state policy for timely services, when no practitioner is available within the state provider network, a family is authorized to utilize a provider outside the state network to provide the early intervention service. Currently there is no way to

record these services in the electronic database. Therefore these services will appear as not timely.

- To obtain baseline for this indicator, SPOE data was run on children that received an initial IFSP in January 2005. The claim data on these children were analyzed for services included on the IFSP.
- An inquiry was conducted with one county to determine the extent to which the SPOE data accurately reflected performance in the county. The results of the inquiry were as follows:
 - For any child that service was started more than thirty days after the IFSP consent the service coordination unit was requested to review the child's record and provide additional information on the delay.
 - In fact, all delays were due to family reasons or data errors. Therefore, no findings of non-compliance were made in this county. It confirmed concerns that the SPOE data currently available does not necessarily reflect performance in this area.
 - The DHSS-EIS will proceed to complete an Inquiry Desk Audit to obtain additional information on the reasons for delay of services on an IFSP in a timely manner.
 - For each child listed on the inquiry, the service coordination unit will provide the reason for delay, name of the Early Intervention Provider Agency and, the date assigned to the agency.
 - The inquiry delay reason options are as follows:
 - Total of family delays;
 - Total of child illness/hospitalization;
 - Total of services added through IFSP review;
 - Total of services being provided through compensation as authorized by DHSS-EIS;
 - EIS delay (EIP, service coordinator, no practitioner available)
 - For any NJEIS delays, the agency must indicate the reason/barriers that affected meeting the timeline, the agency response to the reason/barrier, and the status of the problem.
 - For any delays due to inconsistent data between SPOE and the Service Coordination Unit, the DHSS-EIS Monitoring Team conducts follow-up with the early intervention provider agency.
 - Based on the information received from the inquiries, any findings of noncompliance in this area will be made as necessary. In those instances, a corrective action plan with required evidence of change will be developed and correction of noncompliance will be ensured as soon as possible but no later than one year from the date of identification of the noncompliance.

Revised Baseline Data for FFY2005 (2005-2006):

For FFY2005, 82% of infants and toddlers with IFSPs received the early intervention services on their IFSPs in a timely manner.

Discussion of Revised Baseline Data:

Upon receipt of clarification and additional guidance from OSEP in 2006 related to the calculations and measurement for this indicator, it was determined that data were calculated incorrectly for the initial SPP submission. Data submitted in FFY2004 were calculated only for initial IFSP services. Therefore, revised baseline data for FFY2005 were submitted.

Revised data were collected for all active child records for the month of January 2006 for 10 (48%) of the 21 counties in New Jersey. Monitoring of the counties for this indicator occurs on a two year cycle. The other 11 counties are reviewed in the subsequent year.

The counties were randomly selected within each of three cohort groups (based on child count/funding). A desk audit was conducted including an inquiry process in which counties completed and submitted additional information for specific children whose services appeared delayed on the state data base that does not capture delays for family reasons or delays as a result of a service that was added at a subsequent IFSP team meeting. A desk audit of 479 active child records was computer-generated from the NJEIS data system for the ten counties for that time period. The state office then distributed an inquiry to the ten counties on the children where one or more services were identified as exceeding 30 days from the closest IFSP initial or annual to determine reasons for delays, including documentation of family reasons or as a result of a service that was added at a subsequent IFSP team meeting not

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captured in the database. Since the NJEIS database does not capture all variables needed to determine whether a service is timely, an inquiry was conducted on 145 children and 167 services.

The results of the inquiry identified that for 52 (36%) of the 145 children, the delays were family related and 7 (4.8%) were timely in relation to the IFSP review through which the service was added to the IFSP. As required by OSEP, these 52 children were included in both the numerator and denominator used to calculate the baseline. Family reasons included child illness, child hospitalization, family cancellations and request to reschedule.

Revised baseline data includes timelines for all services included on initial and annual IFSPs as well as periodic revisions to IFSPs, as required by OSEP. Delays in initiation of services that were not related to family-initiated or family-requested delays were primarily reported to be the result of limited practitioner availability.

FFY	Measurable and Rigorous Target
2005 (2005-2006)	100% percent of infants and toddlers with IFSPs will receive the early intervention services on their IFSPs in a timely manner.
2006 (2006-2007)	100% percent of infants and toddlers with IFSPs will receive the early intervention services on their IFSPs in a timely manner.
2007 (2007-2008)	100% percent of infants and toddlers with IFSPs will receive the early intervention services on their IFSPs in a timely manner.
2008 (2008-2009)	100% percent of infants and toddlers with IFSPs will receive the early intervention services on their IFSPs in a timely manner.
2009 (2009-2010)	100% percent of infants and toddlers with IFSPs will receive the early intervention services on their IFSPs in a timely manner.
2010 (2010-2011)	100% percent of infants and toddlers with IFSPs will receive the early intervention services on their IFSPs in a timely manner.
2011 (2011-2012)	<u>100% percent of infants and toddlers with IFSPs will receive the early intervention services on their IFSPs in a timely manner.</u>
2012 (2012-2013)	<u>100% percent of infants and toddlers with IFSPs will receive the early intervention services on their IFSPs in a timely manner.</u>

Improvement Activities/Timelines/Resources (through FFY 2012):

NJEIS is in design development on changes to the electronic data system to ensure the availability of data on the provision of timely services. The improvement activities below reflect the planning for these changes.

Improvement Activities	Timelines	Resources
Enhance the SPOE database to record the date of the initial IFSP meetings and all IFSP reviews as a data element. This record would include the date of the meeting and the date the parents consent to any IFSP service.	Pending RFP Release	NJEIS State Staff Covansys Contractor

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Improvement Activities	Timelines	Resources
Enhance the SPOE database to enable NJEIS to link authorizations with a specific IFSP meeting or review. By linking authorizations with these dates, timely services can accurately be measured from the IFSP consent date.	Pending RFP Release	NJEIS State Staff Covansys Contractor
Enhance the SPOE database to allow the reassignment of an authorization to a different agency or practitioner while keeping the authorization associated with the IFSP meeting/review that added the service to the child's IFSP.	Pending RFP Release	NJEIS State Staff Covansys Contractor
Enhance the SPOE database to allow an authorization to be created before an agency/practitioner has been assigned to provide a service. This allows NJEIS to track all authorizations for timely delivery of service. Once an agency/practitioner is assigned to the service the authorization can be modified.	Pending RFP Release	NJEIS State Staff Covansys Contractor
Monitoring activities on the provision of timely services will be conducted annually including a desk audit, inquiry to obtain additional information from counties, issuance of findings of noncompliance if necessary, implementation of corrective action plans, provision of technical assistance, and assurance of correction of noncompliance in accordance with federal requirements.	Ongoing Activity FFY 2006 – <u>2012</u>	NJEIS state Monitoring staff SPOE Database
Once the SPOE enhancement is complete, analyze data on “untimely” services to determine if patterns exist in type of service, type of discipline, variations in frequency and intensity of service need/provisions, county area, etc. to determine gaps in access and availability of necessary services. Plans to address any identified needs will be developed and implemented.	Ongoing Activity FFY 2006 – <u>2012</u>	NJEIS state staff SPOE Database REICs SICC NJEIS Part C Steering Committee
Continue to facilitate enrollment of new service vendor agencies to increase availability to access to services.	Ongoing Activity FFY 2006 – <u>2012</u>	NJEIS staff, REIC, SICC
Collaborate with the NJ Department of Education, Office of Special Education Programs (OSEP) Personnel Grant to address activities to enhance practitioner recruitment and retention.	Completed FFY 2008	NJEIS staff, NJEIS- CSPD, NJOSEP, Institutions of Higher Education (IHEs)
Compensatory services are provided to families in instances in which services have not been provided in a timely manner. This is identified through informal and formal family contacts to the Procedural Safeguards Office or the NJEIS Central Management Office.	Ongoing Activity FFY 2006 – <u>2012</u>	NJEIS state staff Mediators Hearing Officers SPOE Database
When no practitioner is available within the state provider network, a family is authorized by NJEIS to utilize a practitioner outside the state network to provide the early intervention service to ensure that services are provided within the state policy for timely services.	Ongoing Activity FFY 2006 – <u>2012</u>	NJEIS staff Service Coordinators

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Overview of the State Performance Plan Development: See overview description on page two

Monitoring Priority: Early Intervention Services In Natural Environments

Indicator 2: Percent of infants and toddlers with IFSPs who primarily receive early intervention services in the home or community-based settings.

(20 U.S.C. 1416(a)(3)(A) and 1442)

Measurement: Percent = [(# of infants and toddlers with IFSPs who primarily receive early intervention services in the home or community-based settings) divided by the (total # of infants and toddlers with IFSPs)] times 100.

Overview of Issue/Description of System or Process:

In SFY 1998, DHSS led the State through a significant systems change to help ensure that early intervention services are provided in a variety of natural environment settings. NJEIS implements policies and procedures to ensure compliance and facilitates the provision of early intervention supports and services in home and community settings throughout New Jersey unless early intervention cannot be achieved satisfactorily in a natural environment.

NJEIS requires the use of statewide intake and IFSP forms that include a standard format to gather family information about child and family schedules and routines, as well as families' concerns, priorities and resources. This, in addition to orientation training and instructional materials encourages practitioners and families to consider options for delivery of services in natural environment locations other than home. In focus groups with families conducted by the PTI, SPAN, in collaboration with the REIC Family Support Coordinators, families indicated an interest in having more services delivered in community settings and in receiving assistance from the Early Intervention System in making their communities more welcoming to their children and families.

The data entry procedures require that all IFSP service pages are submitted to the REIC and those with other than natural environment locations are referred to the REIC training and technical assistance coordinators for review. The review includes ensuring that: (1) a justification is provided; and (2) the justification is child centered. Technical assistance is provided on an ongoing basis.

Baseline Data for FFY 2004 (2004-2005):

99.14% of infants and toddlers with IFSPs primarily receive early intervention services in the home or programs for typically developing children.

Discussion of Baseline Data:

The December 1, 2004 Child Count was 7790 infants and toddlers. Of this child count 7,723 received their early intervention services in natural environment settings as follows:

- 7,351 in the home setting; and
- 372 in settings designed for typically developing children

Since these data only report primary setting, the data do not capture when services are provided in other than the primary setting. For example, two hours of developmental intervention may be

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provided in the home and one hour in the child care setting. The services provided in the child care center are not reflected in the settings data reported. In addition, in some instances, services recorded as provided in the home occur in community settings such as the neighborhood playgrounds, grocery stores and libraries.

FFY	Measurable and Rigorous Target
2005 (2005-2006)	99.15 percent of infants and toddlers with IFSPs will primarily receive early intervention services in the home or programs for typically developing children.
2006 (2006-2007)	99.20 percent of infants and toddlers with IFSPs will primarily receive early intervention services in the home or programs for typically developing children.
2007 (2007-2008)	99.30 percent of infants and toddlers with IFSPs will primarily receive early intervention services in the home or programs for typically developing children.
2008 (2008-2009)	99.40 percent of infants and toddlers with IFSPs will primarily receive early intervention services in the home or programs for typically developing children.
2009 (2009-2010)	99.45 percent of infants and toddlers with IFSPs will primarily receive early intervention services in the home or programs for typically developing children.
2010 (2010-2011)	99.50 percent of infants and toddlers with IFSPs will primarily receive early intervention services in the home or programs for typically developing children.
<u>2011</u> <u>(2011-2012)</u>	<u>99.50 percent of infants and toddlers with IFSPs will primarily receive early intervention services in the home or programs for typically developing children.</u>
<u>2012</u> <u>(2012-2013)</u>	<u>99.50 percent of infants and toddlers with IFSPs will primarily receive early intervention services in the home or programs for typically developing children.</u>

Improvement Activities/Timelines/Resources (through FFY 2012):

Improvement Activities	Timelines	Resources
Using the SPOE database, run and disseminate an annual report ranking the twenty-one counties' performance on this indicator based on December 1 child count.	Ongoing Activity FFY 2006 – <u>2012</u>	NJEIS State Staff SPOE Database
Collaborate with the Council on Developmental Disabilities (CDD) on their Part C Planning and Implementation Grants to enhance inclusive community resources and supports for families.	Completed FFY 2006	NJEIS SICC REICs CDD
Review state and county data from the NCSEAM Family Surveys to identify patterns in providing services in and/or linking families to community supports and services. Develop and implement activities based on survey results.	Ongoing Activity FFY 2006 – <u>2012</u>	NJEIS REICs SICC Part C stakeholders

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Improvement Activities	Timelines	Resources
Continue to review settings data from the SPOE database to inform progress in provision of service in natural environments.	Ongoing Activity FFY 2006 – <u>2012</u>	SPOE Database NJEIS SICC Steering Committee
Once the new web-based enhancement to the SPOE database is available, the following information will be reviewed to inform CSPD efforts: family information, child and family outcomes, services, supports and settings.	Pending RFP Release	SPOE Database NJEIS REICs
Review the family assessment page of the IFSP, IFSP Instructions and Orientation materials to ensure that they specifically reflect the child and family outcomes developed by the Early Childhood Outcome Center.	Ongoing Activity FFY 2006 – <u>2012</u>	NJEIS REICs
Enhance collaboration with community based partners to identify existing community supports, develop a plan to make connections and provide professional development. The purpose is to connect families with community supports and services that are not specifically targeted to individuals with disabilities.	Ongoing Activity FFY 2006 – <u>2012</u>	NJEIS SICC REICs CDD-Division of Prevention and Community Partnerships
Create links on NJEIS and advocacy organization websites to assist families in accessing services and supports identified on the "other non-required page" of the IFSP.	Ongoing Activity FFY 2006 – <u>2012</u>	NJEIS SICC Advocacy Organizations

Part C State Performance Plan (SPP) for FFY 2005-2012

Overview of the State Performance Plan Development: See overview description on page two

Monitoring Priority: Early Intervention Services In Natural Environments

Indicator 3: Percent of infants and toddlers with IFSPs who demonstrate improved:

- A. Positive social-emotional skills (including social relationships);
- B. Acquisition and use of knowledge and skills (including early language/ communication); and
- C. Use of appropriate behaviors to meet their needs.

(20 U.S.C. 1416(a)(3)(A) and 1442)

Measurement:

- A. Positive social-emotional skills (including social relationships):
- B. Acquisition and use of knowledge and skills (including early language/communication): and
- C. Use of appropriate behaviors to meet their needs:

Progress categories for A,B and C:

- a. Percent of infants and toddlers who did not improve functioning = [(# of infants and toddlers who did not improve functioning) divided by (# of infants and toddlers with IFSPs assessed)] times 100.
- b. Percent of infants and toddlers who improved functioning but not sufficient to move nearer to functioning comparable to same-aged peers = [(# of infants and toddlers who improved functioning but not sufficient to move nearer to functioning comparable to same-aged peers) divided by the (# of infants and toddlers with IFSPs assessed)] times 100.
- c. Percent of infants and toddlers who improved functioning to a level nearer to same-aged peers but did not reach it = [(# of infants and toddlers who improved functioning to a level nearer to same-aged peers but did not reach it) divided by the (# of infants and toddlers with IFSPs assessed)] times 100.
- d. Percent of infants and toddlers who improved functioning to reach a level comparable to same-aged peers = [(# of infants and toddlers who improved functioning to reach a level comparable to same-aged peers) divided by the (# of infants and toddlers with IFSPs assessed)] times 100.
- e. Percent of infants and toddlers who maintained functioning at a level comparable to same-aged peers = [(# of infants and toddlers who maintained functioning at a level comparable to same-aged peers) divided by the (# of infants and toddlers with IFSPs assessed)] times 100.

Summary Statements for Each of the Three Outcomes (use for FFY 2008-2009 reporting):

Summary Statement 1: Of those infants and toddlers who entered or exited early intervention below age expectations in each Outcome, the percent who substantially increased their rate of growth by the time they turned 3 years of age or exited the program.

Measurement for Summary Statement 1:

Percent = # of infants and toddlers reported in progress category (c) plus # of infants and toddlers reported in category (d) divided by [# of infants and toddlers reported in progress category (a) plus # of infants and toddlers reported in progress category (b) plus # of infants and toddlers reported in progress category (c) plus # of infants and toddlers reported in progress category (d)] times 100.

Summary Statement 2: The percent of infants and toddlers who were functioning within age expectations in each Outcome by the time they turned 3 years of age or exited the program.

Measurement for Summary Statement 2:

Percent = # of infants and toddlers reported in progress category (d) plus # of infants and toddlers reported in progress category (e) divided by [the total # of infants and toddlers reported in progress categories (a) + (b) + (c) + (d) + (e)] times 100.

Overview of Issue/Description of System or Process:

State-contracted Targeted Evaluation Teams (TETs) conduct eligibility evaluations and assessments for IFSP planning. The TETs use a variety of evaluation tools, procedures, family reports and clinical opinion to determine eligibility and conduct assessments. Utilizing these teams, NJEIS implements a statewide Outcome evaluation system to support OSEP requirements for submitting child outcome data. The following details the steps that NJEIS has and continues to implement.

- **Stakeholder consensus concerning child outcome timelines, processing and sampling.**
 - To develop a plan for this indicator a Child Outcomes Workgroup was established with members of the State Part C Steering Committee, NJEIS state staff, REIC staff, evaluation/assessment experts. The child outcome workgroup used the work of the EIS Evaluation Tools Workgroup and their position paper of 2002, requested input from current Targeted Evaluation Teams and used workgroup member clinical experience and knowledge to establish criteria that guided the selection of an outcome instrument(s) and to sort through commercially available tools.
 - No less than 10 tools were considered and were not recommended by the workgroup for failure to meet one or more of the criteria. After further review and discussion, the workgroup recommended the use of the Battelle Developmental Inventory 2nd edition (BDI-2) as it met all criteria set by the workgroup.
 - The workgroup determined that the Indicators to be reported to OSEP can be met by using the BDI-2 in the following way:
 - **Indicator 3A – Children have positive social relationships.** The BDI-2 domain of Personal/Social includes sufficient information on adult and peer interaction, self-concepts and social growth to answer the Indicator 3A.
 - **Indicator 3B – Children acquire and use skills and knowledge including language and communication.** The BDI-2 domains of Cognition and Communication include sufficient information on expressive and receptive language, attention and memory, concept development, reasoning and academic skills and perceptual discrimination to answer Indicator 3B.
 - **Indicator 3C– Children take appropriate actions to meet their needs.** The domains of Motor and Self-help contain sufficient information related to fine motor skills, gross motor skills, personal responsibility and self-care to answer Indicator 3C.
 - **Implementation:** Members of the Child Outcomes Workgroup met with the publisher of the BDI-2 in November 2005 to discuss the training requirements of this instrument and costs. DHSS anticipates using the available web-based software in order to ensure accurate scoring of the instrument.
 - **Training Plan:** In order to correctly collect the information needed to report on Indicator 3A, 3B and 3C, Targeted Evaluation Team members receive training on both the instrument (BDI-2) and the NJEIS specific policies and procedures necessary to ensure accurate and timely data collection.
 - DHSS currently has over 20 Targeted Evaluation Teams that received training to administer the BDI-2 correctly and accurately.
 - DHSS conducts training via professional trainers. Trainings are conducted in small groups and the Targeted Evaluation Team members are assessed for inter-rater reliability.

- Following the completion of the BDI-2 training, members of the Targeted Evaluation Team are given a certificate of completion and information is added to their individual practitioner profile on file with NJEIS. Only evaluators with this designation can administer the BDI-2 for child outcome reporting. Monitoring of this requirement can be done through the current authorization process.
- After an evaluator has been trained and certified in the administration of the BDI-2, they are trained on NJEIS policies and procedures for submitting the individual information for each child they evaluate including safeguards for privacy as well as provisions for timely submission of the data.
- **Receive input and feedback from stakeholders concerning the collection and sampling of outcome data.** Outcome data are available on a child-basis and aggregated on a provider agency, county, regional and statewide level. The amount and availability of outcome data can be leveraged by numerous entities in decision-making positions.
- **Receive input and feedback from family members concerning the summary information that should be available to families upon exiting the Part C system.** NJEIS is intending to work with stakeholders including family members and SPAN (PTI) to outline the requirements concerning information that should be provided to families upon their child exiting NJEIS.
- **Implement exit plan process.** NJEIS plans to report outcome results for all twenty-one NJ counties on a 4 year cycle in place of the sampling plan described in the SPP submitted for December 2005. NJ's 21 counties vary by size, population, race/ethnicities, and urban/rural factors which were considered when categorizing the counties in the four year reporting cycle. NJEIS will report on children exiting the NJEIS from 6 selected counties in the February 2008 APR, 5 additional counties in the February 2009, 5 additional counties in the February 2010 and the remaining 5 counties in the February 2011 APR. Exit plan process includes:
 - Children are identified for inclusion in the exit data collection by the lead agency using OSEP criteria to include children that have received services for at least 6 months.
 - TET teams and Service Coordination Units are given at least 3 months advance notice to schedule, complete and submit the exiting data.
 - Families receive prior notice of the exit evaluation through the targeted evaluation team and a brochure explaining the purpose of the evaluation. Consent is obtained.
- **Enable an outcome report to be provided to families upon exit from Part C.** NJEIS evaluates the infants and toddlers as part of the intake process into the NJEIS. Significant numbers of infants and toddlers are evaluated upon exit. For those children that have been evaluated, outcome reports are given to the parents upon exit from Part C. This report provides information to the parents about the evaluation conducted for their child. Special care will need to be taken to ensure this report is presented to families in a format that is understandable and supportive to family members.
- **Review and analyze the outcome data and determine statistical 'pitfalls' to the approach.** NJEIS reviewed the collected data and determined that the data are valid and accurate. Ongoing review of outcome data is conducted to ensure that the information reported to OSEP and State decision-makers is statistically valid and significant.
- **Construct and communicate baseline data that will be reported to OSEP and other stakeholders.** NJEIS reports to the State Interagency Coordinating Council, Part C Steering Committee and Annual Performance Reporting to OSEP and the public.

BASELINE Data (FFY 2008)

The data presented below serves as the baseline data for this indicator. For FFY 2008, the tables below show the progress data for children who exited July 1, 2008–June 30, 2009. These children had both entry and exit data and had participated in the NJEIS for at least 6 months. Counties reporting for FFY 2008 are Atlantic, Cape May, Morris, Union and Warren.

SPP Template – Part C (3)

New Jersey
State

3A – Children have positive social-emotional skills (including social relationships)

BDI-2 Domain: Personal-Social

OSEP indicator	Number of Children	% of Children
a) Percent of infants and toddlers who did not improve functioning	1	1%
b) Percent of infants and toddlers who improved functioning but not sufficient to move nearer to functioning comparable to same-aged peers	20	13%
c) Percent of infants and toddlers who improved functioning to a level nearer to same-aged peers but did not reach it	8	5%
d) Percent of infants and toddlers who improved functioning to reach a level comparable to same-aged peers	18	11%
e) Percentage of infants and toddlers who maintained functioning at a level comparable to same-aged peers.	111	70%
Total	158	100%

3B - Acquisition and use of knowledge and skills (including early language/ communication and early literacy)

BDI-2 Domains: Cognitive and Communication

OSEP indicator	Number of Children	% of Children
a) Percent of infants and toddlers who did not improve functioning	1	1%
b) Percent of infants and toddlers who improved functioning but not sufficient to move nearer to functioning comparable to same-aged peers	19	12%
c) Percent of infants and toddlers who improved functioning to a level nearer to same-aged peers but did not reach it	43	27%
d) Percent of infants and toddlers who improved functioning to reach a level comparable to same-aged peers	39	25%
e) Percentage of infants and toddlers who maintained functioning at a level comparable to same-aged peers.	56	35%
Total	158	100%

3C - Use of appropriate behaviors to meet their needs

BDI-2 Domains: Motor and Adaptive/Self-Help

OSEP indicator	Number of Children	% of Children
a) Percent of infants and toddlers who did not improve functioning	0	0%
b) Percent of infants and toddlers who improved functioning but not sufficient to move nearer to functioning comparable to same-aged peers	4	3%
c) Percent of infants and toddlers who improved functioning to a level nearer to same-aged peers but did not reach it	19	12%
d) Percent of infants and toddlers who improved functioning to reach a level comparable to same-aged peers	32	20%
e) Percentage of infants and toddlers who maintained functioning at a level comparable to same-aged peers.	103	65%
Total	158	100%

Discussion of Baseline Data

DHSS-NJEIS has upheld its use of the Battelle Developmental Inventory 2 (BDI-2) to collect data on Indicators 3A, 3B & 3C. This data represents the entry and exit data collection that was completed (July 1, 2008 – June 30, 2009) for indicators 3A, 3B & 3C using the BDI-2. A total of 158 children from 5 counties met the criteria for inclusion in this data set. The previously reported cohorts of child outcomes data for FFY 2006, and FFY 2007 included 63 and 182 children respectively. Comparison of all three data sets (63, 182 & 158 children) indicates reliability of the NJEIS data collection system as the results and data patterns in each indicator are similar for all cohorts.

Summary Statements

In the FFY'08 SPP, NJEIS set targets for child outcomes. Two Summary Statements for each of the three outcomes were provided in order to aggregate the progress data. Targets were set for each of the summary statements for each of the three indicators.

Summary Statement 1: Of those children who entered the program below age expectations in each Outcome, the percent who substantially increased their rate of growth by the time they exited the program. This is calculated for each of the three outcome statements by using the formula: $(c+d) / (a+b+c+d) \times 100$.

Summary Statement 1 - Baseline Data (July 1, 2008 - June 30, 2009)

Indicator 3A - Children have positive social-emotional skills	55.31% substantially increased their rate of growth by the time they exited the program.
Indicator 3B - Acquisition and use of knowledge and skills (including early language/communication and early literacy)	80.39% substantially increased their rate of growth by the time they exited the program.
Indicator 3C- Use of appropriate behaviors to meet their needs	92.72% substantially increased their rate of growth by the time they exited the program.

SPP Template – Part C (3)

New Jersey
State

Summary Statement 2: The percent of children who were functioning within age expectations in each Outcome by the time they exited the program. This is calculated using the formula $(d+e) / N \times 100$.

Summary Statement 2 - Baseline Data (July, 1 2008 - June 30, 2008)

Indicator 3A - Children have positive social-emotional skills	86.64% Of children were functioning within age expectation upon exit from the program
Indicator 3B - Acquisition and use of knowledge and skills (including early language/ communication and early literacy	60.12% Of children were functioning within age expectation upon exit from the program.
Indicator 3C- Use of appropriate behaviors to meet their needs	85.44% Of children were functioning within age expectation upon exit from the program.

Targets for Infants and Toddlers Exiting in FFY 2009 (2009-10), FFY 2010 (2010-2011), FFY 2011 (2011-12) and FFY 2012 (2012-13)

The following Targets have been set based upon:

- For FFY 2009 and FFY 2010, the summary statements calculator was applied to the two previous years for which data was available (FFY 2006, FFY 2007) to assess for trends;
- Consideration of the construct the summary statement is intended to measure; and
- Stakeholder input.

Summary Statement 1	FFY 2008 Baseline	FFY 2009 Target	FFY 2010 Target	FFY 2011 Target	FFY 2012 Target
Indicator 3A - Children have positive social-emotional skills	55.31%	56.30%	58.50%	58.5%	58.5%
Indicator 3B - Acquisition and use of knowledge and skills (including early language/ communication and early literacy	80.39%	80.39%	83.00%	83.0%	83.5%
Indicator 3C- Use of appropriate behaviors to meet their needs	92.72%	92.72%	95.00%	95.0%	95.0%

Summary Statement 2	FFY 2008 Baseline	FFY 2009 Target	FFY 2010 Target	FFY 2011 Target	FFY 2012 Target
Indicator 3A - Children have positive social-emotional skills	86.64%	86.64%	87.5%	89.25%	89.75%
Indicator 3B - Acquisition and use of knowledge and skills (including early language/ communication and early literacy	60.12%	60.50%	64.0%	68.0%	72.0%
Indicator 3C- Use of appropriate behaviors to meet their needs	85.44%	85.44%	86.5%	88.5%	91.12%

Improvement Activities/Timelines/Resources (through FFY 2012)

Improvement Activities	Timelines	Resources
DHSS will convene a stakeholder workgroup to identify additional mechanisms that will inform families of the Child Outcomes Project	Completed March 2008	NJEIS REICS Part C Stakeholders
DHSS will add Service Coordination Units to the data management system and provide training and technical assistance to facilitate the flow of information from Evaluation teams to Service Coordinators	Ongoing Activity FFY2006-2012	NJEIS REICS Service Coordination Units Riverside Publishing
DHSS will offer annual state-wide training days for evaluators to learn BDI-2 administration and NJEIS policies and procedures related to implementation	Ongoing Activity FFY 2006 – 2012	NJEIS REICS Riverside Publishing
DHSS will use data from Indicators 3A, 3B, and 3C to identify the training needs of NJEIS practitioners	Ongoing Activity FFY 2006 – 2012	NJEIS REICS Riverside Publishing
DHSS will partner with the NJ Department of Education, lead agency for Part B 619 services, to develop and implement an agreement and the mechanisms for sharing Child Outcome data between Departments.	Ongoing Activity FFY 2006 – 2012	NJEIS NJ DOE Riverside Publishing Company
DHSS will convene a stakeholder workgroup including the Targeted Evaluation Teams to evaluate the strengths and weaknesses of the initial 4 years of the Child Outcome Project and to plan future targets and improvement activities.	<u>Completed June 2010</u>	NJEIS Targeted Evaluation Teams Service Coordination Units Riverside Publishing
DHSS will replace and update the evaluation equipment as needed to evaluation teams State-wide	Ongoing Activity FFY 2006 – 2012	NJEIS Riverside Publishing
DHSS will partner with other Part C states that use BDI-2 for Indicator 3, to evaluate data decisions, results, patterns, trends, and implementation strategies.	Ongoing Activity FFY 2006 – 2012	NJEIS Part C programs in at least 3 other states that utilize BDI-2
DHSS will pursue alternative and long-term technology solutions that allow for scoring and storing of information by evaluators in the field.	Ongoing Activity FFY 2006 – 2012	NJEIS Riverside Publishing Part C programs in other states that utilize BDI-2

SPP Template – Part C (3)

Improvement Activities	Timelines	Resources
DHSS will explore the use of a “social-emotional” specific instrument by practitioners and/or families in order to provide focus on improvement of outcomes of children as measured in indicator 3A.	Ongoing Activity FFY 2006 – <u>2012</u>	NJEIS Targeted Evaluation Teams Service Coordination Units Early Intervention Provider Agencies and Practitioners
DHSS will continue participation in the Center of Social and Emotional Foundations for Early Learning (CSEFEL) project in NJ and distribute materials that provide focus and improvement of outcomes of children as measured in indicator 3A	Ongoing Activity FFY 2006 – <u>2012</u>	NJEIS REIC Jersey City Univ. CSEFEL staff
<u>Proposed Improvement Activity FFY 2010-2012</u>		
<u>NJEIS/DHSS will develop a format and message that can be used to report state and local child outcome data to the Commissioner, Governor’s Office, Legislature and public.</u>	<u>Ongoing Activity</u> <u>FFY 2010-2012</u>	<u>NJEIS</u> <u>Stakeholder Workgroup</u> <u>Part C programs in other</u> <u>states using the BDI-2</u>

Part C State Performance Plan (SPP) for FFY 2005-2012

Overview of the State Performance Plan Development: See overview description on page two

Monitoring Priority: Early Intervention Services In Natural Environments

Indicator 4: Percent of families participating in Part C who report that early intervention services have helped the family:

- A. Know their rights;
- B. Effectively communicate their children's needs; and
- C. Help their children develop and learn.

(20 U.S.C. 1416(a)(3)(A) and 1442)

Measurement:

- A. Percent = [(# of respondent families participating in Part C who report that early intervention services have helped the family know their rights) divided by the (# of respondent families participating in Part C)] times 100.
- B. Percent = [(# of respondent families participating in Part C who report that early intervention services have helped the family effectively communicate their children's needs) divided by the (# of respondent families participating in Part C)] times 100.
- C. Percent = [(# of respondent families participating in Part C who report that early intervention services have helped the family help their children develop and learn) divided by the (# of respondent families participating in Part C)] times 100.

Overview of Issue/Description of System or Process:

To develop a plan for this indicator a Family Outcome Workgroup was established with members of the State Part C Steering Committee, NJEIS state staff, and REIC staff. The Part C Steering Committee endorsed the recommendation of the workgroup to use the National Center for Special Education Accountability Monitoring (NCSEAM) Parent/Family Survey. The following procedures will be utilized to ensure an adequate representation of family responses:

- NCSEAM family survey will be conducted in the early spring of each year beginning in 2006 to a random sampling of 1500-2000 families who have been in NJEIS at least nine months. The survey will be statewide and include families from every region.
- Paper copies will be mailed in the family's language, if feasible, with a stamped self addressed envelope and cover letter from NJEIS and the state parent training and information center (PTI), the Statewide Parent Advocacy Network (SPAN). The cover letter will explain the purpose & importance of the survey; that help and information is available from the PTI if needed; the results of the survey will be shared with families; the reason the child's specific ID is on the survey is to ensure a representative sample, and the assurance that individual family responses will not be revealed to NJEIS; and that the survey will help NJEIS compare its performance with national data.
- A second letter will be mailed a few weeks after the survey is mailed, reminding families to complete and send in their survey.
- A web-based version of the survey is planned and when available, families receiving the surveys will be informed that they can choose to complete the surveys on-line at either the NJEIS or PTI website.
- Respondents will be placed in a drawing for an incentive to be determined.
- The goal is to have at least 400-500 returned surveys.
- Information about the survey administration will be provided to stakeholders, including disability organizations, service coordinators and service providers so that they can answer family questions

and encourage completion. The Regional Early Intervention Collaboratives will be the designated state contact for questions about the survey.

- The survey responses will be analyzed to determine the extent to which the survey responders are reflective of the population of families currently being served by the NJEIS.
- It is anticipated that certain categories of families – for example, families with limited literacy, families speaking languages other than English, immigrant families, etc., will not be sufficiently represented in the returned surveys.
- The NJEIS will contract with the PTI, SPAN, to conduct telephone and/or face-to-face surveys with families in these categories, using SPAN Family Resource Specialists who are located at county-based Special Child Health Services Case Management Units. These part-time Family Resource Specialists (FRSs), including bilingual FRSs, are paid by a grant from the NJ Department of Health and Senior Services' Title V program (not the early intervention program), and are already bound by confidentiality both by their funding source and by SPAN's confidentiality policy. The Family Resource Specialists will be trained to assist families to complete the survey so that they do not unconsciously bias the families' responses. Then they will assist families to complete the surveys, and will continue to assist families until the returned/completed surveys are representative of the families involved in the NJEIS. Completed surveys will be returned to the NCSEAM-identified analysis center for analysis.
- Information regarding the results of the survey will be disseminated to the public; posted on the NJEIS and Regional Early Intervention Collaborative's websites; posted on the websites and summarized in the newsletters of SPAN (the PTI) and disability-specific organizations and organizations represented on the SICC.
- Results will be shared with the Family Support Committee of the SICC and the Part C Steering Committee for review and to make recommendations to the SICC and the NJEIS to improve services.
- Sampling: A Survey Random Sample Calculator is used to calculate how many families are needed for a random sample. The calculation is made by specifying the desired error level and population size. A 90-95% confidence interval is used in the calculation to indicate how many families need to complete the survey to a specified error level. The calculator then projects how many families need to complete the survey based on an estimated response rate. The sample includes the following demographic variables:
 - Geographic area
 - Race and language
 - Disability conditions

Revised Overview of Issue/Description of System or Process:

A revised sampling plan was developed and submitted in September 2006 for approval as required by OSEP. The intent was to implement the NCSEAM Family Survey for reporting the FFY 2005 baseline. During a conference call, OSEP provided verbal comments that the sampling plan was acceptable and provided a reasonable representative sampling for the state. However, concerns were expressed about whether the data collected would be representative when disaggregated by county. The state office decided to revisit this concern, modified the sampling population and resubmitted a plan in November 2006. The revised sampling plan was presented and accepted by the Part C Steering Committee at a November 30, 2006 meeting. A verbal approval was received in December from OSEP. Considering the late approval, a decision was made to use the sampling plan for implementing the NCSEAM Survey for FFY 2006 and to use results from regional family surveys implemented in December 2005 to report FFY 2005 baseline data.

Two state family surveys were implemented in December 2005 for children during July 1, 2005 – September 2005 that: (1) were in their first six months of services and (2) transitioned from the NJEIS with the same quarter. A small stakeholder workgroup led by Diana Autin, Co-Director of the Statewide Parent Advocacy Network (NJ's PTI) cross walked the individual questions from the state family surveys to the three sub-indicators under this indicator. A determination was made for each sub-indicator as to which questions would be aggregated to calculate the baseline.

NJEIS Revised Family Outcome Sampling Plan

New Jersey is divided into twenty-one counties with a system point of entry for the NJEIS established through a Service Coordination Unit in each county. The counties are organized under four Regional Early Intervention Collaboratives (REICs). The NJEIS has a comprehensive central management system that provides direct access to data on families including demographic information. This data will be used to identify the families that will receive the survey.

❖ **Population**

NJEIS through consultation with a family survey workgroup that included stakeholders recommended the following business rules be applied in the selection of families.

1. Children that have been in the system for at least 9 months from referral; and
2. Children that have an active IFSP or exited early intervention 3 months or less from the population selection date.

The analysis of NJEIS (FFY 2006) data using the above business rules identified a total population size of 5,513 families as documented by the table below.

Child Count	Race					Grand Total	
	African American/ Not Hispanic	American Indian/ Alaskan Native	Asian/ Pacific Islander	Hispanic	White/ Not Hispanic		
CountyName							
ATLANTIC	18		5	33	83	139	3.1%
BERGEN	14		48	91	465	618	9.5%
BURLINGTON	37	1	6	12	201	257	5.5%
CAMDEN	57		8	55	221	341	8.1%
CAPE MAY	1			2	27	30	0.7%
CUMBERLAND	13			43	25	81	2.1%
ESSEX	133		9	59	185	386	6.7%
GLOUCESTER	14	1	3	8	234	260	5.3%
HUDSON	18		18	78	61	175	2.9%
HUNTERDON			5	1	43	49	1.4%
MERCER	35	1	12	40	108	196	4.0%
MIDDLESEX	37		69	75	250	431	7.8%
MONMOUTH	22		15	33	434	504	8.6%
MORRIS	11		21	52	267	351	7.1%
OCEAN	21		1	41	557	620	7.9%
PASSAIC	31		13	113	161	318	4.9%
SALEM	3			1	27	31	0.7%
SOMERSET	22		31	28	195	276	4.9%
SUSSEX	2		5	10	55	72	1.2%
UNION	37		7	87	184	315	6.2%
WARREN	1			3	59	63	1.4%
Grand Total	527	3	276	865	3842	5513	

❖ **Sampling Plan**

NJEIS conducted a two year analysis of historic NJEIS family survey data to identify a potential return rate in an effort to prevent a high margin of error. The average return rate for 2005 surveys was 29% and 19% for 2004. Historically, Hispanic and African American families have lower return rates than

other race groups. This difference was documented in the analysis of the 2005-2006 family survey return rates. Therefore, the NJEIS will over sample these two race groups.

NJEIS population varies widely for each county. A minimum and maximum sample size will be set to ensure that the sample size from small and densely populated counties is appropriately represented.

NJEIS not only wants to examine the results from the overall population, but also understands the differences between key demographic subgroups within the population. In order to be certain to obtain a sample that is representative of the NJEIS population and based on analysis results from previous family surveys, NJEIS will implement the use of county stratified random sampling without replacement, unequal allocation (Hispanic and Black race group will be pulled at higher percentages than other race groups). The detailed plan follows:

Step 1: Target number of survey returns per county.

1. The sampling plan is a county stratified random sample without replacement, unequal allocation.
2. The sampling rate is 9% with a minimal county stratum size of 30 and a maximum county stratum size of 70. This would be a sample size of 632.
3. The margin of error (MOE) per county varied from 12% to 28%. The margin of error for 16 out the 21 counties is about 16%.

Step 2: Calculate outgoing sample.

To compensate for a projected lower response rate from African American and Hispanic race groups, an additional sample will be drawn in each of the county stratum. With a 30% expected return rate, the actual number of family surveys to be mailed is 2,340 for the NJEIS population of 5,513 as documented by the table below.

Step 3: Analysis Weights

Nearly all samples require some adjustment. Both stratification and differential response cause samples to deviate from a representativeness and therefore weights must be adjusted for both. As part of the analysis, a weight inverse will be implemented to the:

1. Sampling fraction (including all differentials in target n and field sampling rate); and
2. Response rate.

CountyName	expected returns			sample out				total
	N	s.f.	MOE	W/A/Al	f.s.r.	B/H	f.s.r.	
ATLANTIC	30	22%	16%	63	72%	51	100%	114
BERGEN	56	9%	12%	155	30%	48	45%	203
BURLINGTON	30	12%	17%	81	39%	29	58%	110
CAMDEN	31	9%	17%	69	30%	51	45%	120
CAPE MAY	9	30%	27%	27	100%	3	100%	30
CUMBERLAND	24	30%	17%	25	99%	56	100%	81
ESSEX	35	9%	16%	59	30%	87	45%	146
GLOUCESTER	30	12%	17%	92	38%	13	58%	104
HUDSON	30	17%	16%	45	57%	82	86%	127
HUNTERDON	15	31%	21%	48	100%	1	100%	49
MERCER	30	15%	16%	62	51%	57	77%	119
MIDDLESEX	39	9%	15%	96	30%	51	45%	147
MONMOUTH	45	9%	14%	134	30%	25	45%	158
MORRIS	32	9%	17%	88	30%	29	46%	116
OCEAN	56	9%	12%	168	30%	28	45%	196
PASSAIC	30	9%	17%	55	31%	68	47%	123

CountyName	expected returns			sample out				total
	N	s.f.	MOE	W/A/AI	f.s.r.	B/H	f.s.r.	
SALEM	9	29%	28%	26	97%	4	100%	30
SOMERSET	30	11%	17%	82	36%	27	54%	109
SUSSEX	22	31%	17%	60	100%	12	100%	72
UNION	30	10%	17%	61	32%	59	48%	120
WARREN	19	30%	19%	59	100%	4	100%	63
Grand Total	632	11%	3.7%	1553	38%	784	56%	2337

❖ **Promotion of the Survey and Follow-Up**

Families will mail the completed survey directly to an outside agency to analyze the survey results. A unique child identification number will be documented on each survey to provide demographic information. The outside agency conducting the analysis will only provide a listing of the child identification numbers of families responding to the survey back to the NJEIS. This will enable the NJEIS to ensure that a representative sample was achieved. At no time will the outside agency share information with NJEIS on how any individual family responded.

To ensure NJEIS receives the representative sample, the following will be implemented:

1. Families who have not identified English as their primary language can be identified through the demographic data and the NJEIS will:
 - a. provide the family with a translated version of the survey (if available), or:
 - b. Phone survey the family utilizing SPAN (PTI) families to assist with the completion of the survey.
2. Determine which families did not respond within a set time period and follow-up by mailing a reminder post-card and/or phone call. If there is no response after a set period since the post card was mailed and/or the family notifies NJEIS they do not have the survey, another survey will be mailed to the confirmed address.

Baseline Data:

Beginning in FFY 2006, NJEIS began using the National Center for Special Education Accountability Monitoring (NCSEAM) Family Survey for reporting on indicator 4. During the same year, a new sampling plan was developed by NJEIS and approved by OSEP.

In FFY 2007, for the second year, the NJEIS implemented the 22 item Impact on Family Scale (IFS) family survey developed and validated by the NCSEAM and the Rasch measurement framework for analysis. As a result, the Part C Steering Committee revised the targets originally set in the FFY 2005 SPP which were based on a different family survey. The FFY 2006 NCSEAM survey results were used as the new baseline and targets for FFY 2006 to 2010 were revised using the NCEAM target setting calculator found at www.monitoringcenter.lsuhs.edu/CALCULATOR/Calculator.html. The revised baseline and targets are reported in the table below.

INDICATOR 4 TARGETS	4A. Know their rights	4B. Effectively communicate their children’s needs	4C. Help their children develop and learn
FFY 2006 -2007 Baseline	59.9%	55.6%	70.4%
FFY 2007-2008	64.0%	60.0%	73.0%
FFY 2008-2009	68.0%	64.0%	74.5%
FFY 2009-2010	71.0%	67.5%	75.5%

SPP Template – Part C (3)

New Jersey
State

INDICATOR 4 TARGETS	4A. Know their rights	4B. Effectively communicate their children’s needs	4C. Help their children develop and learn
FFY 2010-2011	73.01%	69.88%	76.96%
<u>FFY 2011-2012</u>	73.01%	69.88%	83.80%
<u>FFY 2012-2013</u>	75.00%	72.00%	85.00%

Improvement Activities/Timelines/Resources (through FFY 2012)

Improvement Activities	Timelines	Resources
Calculate the outgoing sample by county and race/ethnicity including as part of the analysis, apply a weight inverse to the sampling fraction (including all differentials in target number and field sampling rate); and response rate.	Ongoing Activity FFY 2007- <u>2012</u>	NJEIS State Staff NJEIS Database
Develop a letter to accompany the Family Survey co-signed by the Part C Coordinator and SPAN Co-Director	Completed 2006	Part C Coordinator SPAN Co-Director
Contract with a vendor to (1) print and distribute the NCSEAM Survey; (2) For completed surveys conduct scanning and data analysis.	Ongoing Activity FFY 2007- <u>2012</u>	Piedra (2007) Contract Vendor NJEIS State Staff
Contracted Vendor prints and mails to families the surveys including a unique child identification number that can be used to aggregate demographic data on responders and enable the NJEIS to ensure that a representative sample was achieved.	Ongoing Activity FFY 2007- <u>2012</u>	Piedra (2007) Contracted Vendor NJEIS State Staff
Families mail the completed survey directly to the contracted vendor for survey processing (opening, scanning, data verification).	Ongoing Activity FFY 2007- <u>2012</u>	Piedra (2007) Contracted Vendor NJEIS State Staff
Follow-up efforts: Contracted Vendor provides a listing of the child identification numbers of families responding to the survey back to the NJEIS for follow as needed to ensure a good return. At no time will the Contracted Vendor share information with NJEIS on how any individual family responded.	Ongoing Activity FFY 2007- <u>2012</u>	Piedra (2007) Contracted Vendor NJEIS State Staff
Determine which families did not respond within a set time period and follow-up by mailing a reminder post-card and/or phone call.	Ongoing Activity FFY 2007- <u>2012</u>	NJEIS SPAN
Database creation, data definition file, Rasch analysis and State-level report containing figures reportable for APR.	Ongoing Activity FFY 2007- <u>2012</u>	Piedra Contracted Vendor NJEIS State Staff

SPP Template – Part C (3)

New Jersey
State

Improvement Activities	Timelines	Resources
Provide targeted technical assistance as needed in counties with low response rates or disproportionate responses from subgroups of the total population served in NJEIS.	Ongoing Activity FFY 2007- <u>2012</u>	NJEIS State Staff REICs
Review family outcome survey results and revise procedural safeguards training as needed to address concerns identified.	Ongoing Activity FFY 2007- <u>2012</u>	NJEIS State Staff REICs
Provide information and guidance to Service Coordination Units and EIP agencies on identifying strategies that are supportive of families participating in early intervention services and designed to build upon family strengths and capacity to exercise their rights, effectively communicate their child’s needs and help their child learn and develop.	Ongoing Activity FFY 2007- <u>2012</u>	NJEIS State Staff REICs
Proposed Improvement Activity FFY 2010-2012		
<u>Add performance on indicator 4 to local determinations criteria beginning with FFY 2010 data.</u>	Ongoing Activity <u>FFY 2010-2012</u>	<u>NJEIS State Staff</u>

Part C State Performance Plan (SPP) for FFY 2005-2012

Overview of the State Performance Plan Development: See overview description on page two

Monitoring Priority: Effective General Supervision Part C / Child Find

Indicator 5: Percent of infants and toddlers birth to 1 with IFSPs compared to national data.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Measurement:

Percent = [(# of infants and toddlers birth to 1 with IFSPs) divided by the (population of infants and toddlers birth to 1)] times 100 compared to national data.

Overview of Issue/Description of System or Process:

- New Jersey has a comprehensive public awareness and child find system including a county based system point of entry that has existed for over twenty years through the Special Child Health Services Case Management Units.
- A statewide list of SCHS-CMUs is disseminated to all primary referral sources through the New Jersey Department of Education CHILD FIND Project; Resources, the Central Directory maintained by the New Jersey Department of Human Services, Division of Disability Services; and Regional Early Intervention Collaboratives (REICs).
- Referral data is used to document trends including age at referral, primary diagnosis, and referral sources.
- New Jersey is one of a handful of States nationwide that has a systemic linkage between its Special Child Health Services Registry and the provision of immediate case management services. This long-standing Registry includes mandated reporting of children born with certain established medical conditions and voluntary reporting of children with other special health care needs. As children are enrolled in the Registry, parents of registered children are contacted by letter and by personnel located in county case management units. This process ensures timely referrals to Part C service coordinators housed within the case management units. The central Registry is located within DHSS.
- New Jersey has a Newborn Hearing Screening program that requires screening of newborn for hearing impairment. Since 2002, birthing facilities are required to screen all newborns electrophysiologically prior to discharge or before the newborn is one month of age regardless of the presence or absence of risk factors. In 2004 99.0% percent of newborns were screened before discharge or by thirty-days after birth. 4.5% of the babies screened were referred for additional testing.
- The NJEIS receives support through the State Improvement Grant under the Department of Education to conduct training events for physicians using a train-the-trainer approach and independent study modules with Continuing Medical Education credits.
 - Endorsement for the physician training project support was secured from the American Academy of Pediatrics/NJ (AAP/NJ) and NJ Academy of Family Physicians (NJAFP) chapters. A collaborative relationship has been developed between the NJEIS, the NJDOE and the AAP/NJ and NJAFP chapters as a result of this training project.
 - Participants include pediatricians, developmental pediatricians, family physicians, nurses, pediatric neurologists, neonatologists, clinical geneticists, pediatric dentists, and social workers, osteopaths, parents, the PTI (which also houses New Jersey's Family Voices Chapter), Preschool Early Childhood Resource Consultants from NJOSEP, early intervention regional trainers, and representatives from DHSS.
 - Evaluation ratings have been very good - 96.5% of participants agreed or strongly agreed that the content met the educational objectives. Participants highlighted that "parental viewpoint"

and the “multiple team participation enhanced understanding of the different aspects of the referral services and system.”

- A tracking log of child find activities and material dissemination is maintained by Part B and C lead agencies and REICs and includes:
 - A toll-free number for child find in service 24 hours per day;
 - Media-newspapers, television and radio announcements;
 - State and regional events; and
 - Annual distribution of materials to various public and private agencies, providers, organizations, school districts, etc.
- The annual December 1 child count data is used to document referral trend data including age at referral, primary diagnosis, and referral sources.

Baseline Data for FFY 2004 (2004-2005):

- A. For Federal fiscal year (FFY) 2004 (2004-2005), New Jersey served 0.53% of infants, birth to one, with IFSPs, compared to 0.91% average for states with similar moderate eligibility.
- B. For Federal fiscal year (FFY) 2004 (2004-2005), New Jersey served 0.53% of infants, birth to one, with IFSPs, compared to the national average of 0.92%.

Discussion of Baseline Data:

Using 618 child count data from December 1, 2004, NJEIS is serving children birth to age one at a rate lower than both the national average and when compared against states with similar eligibility. For this comparison, New Jersey used the recently revised eligibility criteria rankings provided by OSEP in October 2005. New Jersey remained in the same moderate eligibility criteria with some change in states with similar eligibility. Since New Jersey eligibility does not include at-risk criteria, comparisons to national and similar states were done using the 618 data that excluded children at risk.

Percentages served annually were calculated based on the most current U.S. Census population estimates that are available and take into account population growth from year to year within the state.

- State Part C systems are ranked based on the percentage of children from the December 1 child count that are under age one as an indicator of early identification. However, NJEIS documents age of referral is a better indicator of early identification.
- In New Jersey 2,986 children of the total 7,790 December 1, 2004 count were referred prior to their first birthday, while only 627 children were under age 1 on December 1, 2004. Clearly this demonstrates that age at referral is a better indicator of early identification than the percentage of children under age 1 on December 1. NJEIS noted that when state by state data was reviewed, states with a higher percentage of children <1 on December 1 are predominately states that serve an at-risk population. States that serve children initially counted as at-risk often report that many of the children demonstrate developmental delay at a future time. It is recommended that further national consideration be given to the use of this indicator as a proxy for early identification.

FFY	Measurable and Rigorous Target
2005 (2005-2006)	.55 percent of infants and toddlers birth to 1 will have IFSPs
2006 (2006-2007)	.62 percent of infants and toddlers birth to 1 will have IFSPs
2007 (2007-2008)	.62 percent of infants and toddlers birth to 1 will have IFSPs
2008 (2008-2009)	.72 percent of infants and toddlers birth to 1 will have IFSPs
2009 (2009-2010)	.82 percent of infants and toddlers birth to 1 will have IFSPs
<u>2010</u> (2010-2011)	<u>.72 percent*</u> of infants and toddlers birth to 1 will have IFSPs
<u>2011</u> (2011-2012)	<u>.72 percent</u> of infants and toddlers birth to 1 will have IFSPs
<u>2012</u> (2012-2013)	<u>.75 percent</u> of infants and toddlers birth to 1 will have IFSPs

*The NJEIS Steering Committee discussed and recommended that the FFY 2010 target be revised to 0.72%. When this target was set several years ago, it was assumed that NJEIS would have already implemented a change in the eligibility criteria to eliminate the correction to prematurity. As of FFY 2009, this change is still pending public comment. Therefore, it was recommended that the target be reduced. If the rule change becomes effective, the Steering Committee will consider increasing the targets for this indicator.

Improvement Activities/Timelines/Resources (through FFY 2012):

Improvement Activities	Timelines	Resources
Run and rank county performance on percentage of children birth to one served based on the December 1 count compared to county census data.	Ongoing Activity FFY 2007- <u>2012</u>	SPOE Database NJEIS Staff
Select counties with low performance and prepare available data on these counties including referral sources, birth registry data, and diagnosed conditions.	Ongoing Activity FFY 2007- <u>2012</u>	SPOE Database NJEIS Staff Birth Registry Staff & Data
REICs work with identified counties to analyze data, locate community resources and develop targeted child find and public awareness plans to increase the percentage of children birth to one in the identified county.	Ongoing Activity FFY 2007- <u>2012</u>	REIC Staff County SCUs Community Partners

SPP Template – Part C (3)

New Jersey
State

Improvement Activities	Timelines	Resources
Continue ongoing meetings between NJEIS and the Division of Youth and Family Services (DYFS) to develop policy and procedures to ensure appropriate referral of children under Child Abuse Prevention and Treatment Act (CAPTA) and/or potentially eligible children.	Ongoing Activity FFY 2007- <u>2012</u>	NJEIS State Staff DYFS Staff
NJEIS and DYFS facilitation of regional and local collaboration with Child Welfare Planning Councils.	Ongoing Activity FFY 2007- <u>2012</u>	NJEIS State Staff DYFS Staff, REIC Staff Service Coordination Child Welfare Planning Councils
Collaborate with SPANs NICU Project to provide information to families about early intervention.	Completed FFY 2006	NJEIS, SPAN, REICs, NICUs
Collaborate with the Family Support Committee of the SICC on activities to increase early identification and referral to NJEIS.	Ongoing Activity FFY 2007- <u>2012</u>	NJEIS, SICC, REICs
Complete two Physician Trainings through the State improvement Grant to encourage early identification and referral of children to NJEIS.	Completed FFY 2005	NJEIS, NJDOE-OSEP, SICC, REICs
Explore opportunities to collaborate with a NJ Immigration Project that is reaching out to, collecting information on, and conducting outreach to immigrant families and health services.	Ongoing Activity FFY 2007- <u>2012</u>	NJEIS, REICs, SICC Part C Stakeholders
Plan presentations at grand rounds and business meetings of hospitals statewide.	Completed FFY 2006	NJEIS, REICs, SICC
Conduct a session at St. Joseph's Hospital in Paterson, an inner city community with typically underserved populations. Links are being forged with the Pediatric Council on Research and Education (PCORE), the charitable foundation of the American Academy of Pediatrics/NJ Chapter (AAP/NJ).	Completed FFY 2006	NJEIS, REICs, SICC, PCORE
Develop training that will be implemented at physician's offices for office staff that meet the needs of patients and families. This will include the exploration of a link with the ongoing EPIC Children's Futures project, in which PCORE participates, addressing the developmental and psychosocial needs of children 0-3 years of age in the city of Trenton.	Ongoing Activity FFY 2007- <u>2012</u>	NJEIS, REICs, SICC, PCORE
Explore collaboration with ongoing home visiting training projects through Prevent Child Abuse and NJ Academy for Home Visitation Training regarding screening and potential referrals to NJEIS.	Ongoing Activity FFY 2007- <u>2012</u>	NJEIS, REICs, SICC Part C Stakeholders

Part C State Performance Plan (SPP) for FFY 2005-2012

Overview of the State Performance Plan Development: See overview description on page two

Monitoring Priority: Effective General Supervision Part C / Child Find

Indicator 6: Percent of infants and toddlers birth to 3 with IFSPs compared to national data.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Measurement:

Percent = [(# of infants and toddlers birth to 3 with IFSPs) divided by the (population of infants and toddlers birth to 3)] times 100 compared to national data.

Overview of Issue/Description of System or Process:

- New Jersey has a comprehensive public awareness and child find system including a county based system point of entry that has existed for over twenty years through the Special Child Health Services Case Management Units.
- A statewide list of SCHS-CMUs is disseminated to all primary referral sources through the New Jersey Department of Education CHILD FIND Project; Resources, the Central Directory maintained by the New Jersey Department of Human Services, Division of Disability Services; and Regional Early Intervention Collaboratives (REICs).
- State data show that referrals to the early intervention program are increasing (e.g. from 2002 to 2003, an increase of 16.07%; and from 2003 to 2004, an increase of 8.09%). The system received 12,500 referrals during SFY 2005 and approximately 75% of these referrals are found eligible for early intervention.
- DHSS continues to provide representation to the MAP to Inclusive Child Care Partners Team in order to facilitate appropriate interagency referral and availability of inclusive opportunities for children and their families. This team is providing resources and information to the NJ Dept of Human Services for ongoing review of the licensing manual for center- and home-based child care settings regarding the inclusion of young children with disabilities. In addition, the team and the NJEIS have collaboratively developed brief, understandable information on early intervention for use by child care providers to help them become more aware of how to help families access the NJEIS and how to share information with families about the system.
- A tracking log of child find activities and material dissemination is maintained by Part B and C lead agencies and REICs and includes:
 - A toll-free number for child find in service 24 hours a day;
 - Media-newspapers, television and radio announcements;
 - State and regional events; and
 - Annual distribution of materials to various public and private agencies, providers, organizations, school districts, etc.
- The annual December 1 child count data is used to document referral trend data including age at referral, primary diagnosis, and referral sources.

Baseline Data for FFY 2004 (2004-2005):

- A. For Federal fiscal year (FFY) 2004 (2004-2005), New Jersey served 2.21% of infants and toddlers, birth to three, with IFSPs, compared to states with similar moderate eligibility whose average was 2.87%.
- B. For Federal fiscal year (FFY) 2004 (2004-2005), New Jersey served 2.21% of infants and toddlers, birth to three, with IFSPs, compared to the national average of 2.24%.

Discussion of Baseline Data:

Using 618 child count data from December 1, 2004, NJEIS is serving children birth to age three at a rate that is comparable to the national average and slightly lower than states with similar eligibility. For this comparison, New Jersey used the recently revised eligibility criteria rankings provided by OSEP in October 2005. New Jersey remained in the same moderate eligibility criteria with some change in states with similar eligibility. Since New Jersey eligibility does not include at-risk criteria, comparisons to national and similar states were done using the 618 data that excluded children at risk.

FFY	Measurable and Rigorous Target
2005 (2005-2006)	2.21 percent of infants and toddlers birth to 3 will have IFSPs
2006 (2006-2007)	2.31 percent of infants and toddlers birth to 3 will have IFSPs
2007 (2007-2008)	2.31 percent of infants and toddlers birth to 3 will have IFSPs
2008 (2008-2009)	2.55 percent of infants and toddlers birth to 3 will have IFSPs
2009 (2009-2010)	2.70 percent of infants and toddlers birth to 3 will have IFSPs
2010 (2010-2011)	2.87 percent of infants and toddlers birth to 3 will have IFSPs
<u>2011</u> (2011-2012)	<u>3.14 percent of infants and toddlers birth to 3 will have IFSPs</u>
<u>2012</u> (2012-2013)	<u>3.14 percent of infants and toddlers birth to 3 will have IFSPs</u>

Improvement Activities/Timelines/Resources (through FFY 2012):

Improvement Activities	Timelines	Resources
Run and rank county performance on percentage of children birth to three served based on the December one count compared to county census data.	Ongoing Activity FFY 2006 – <u>2012</u>	SPOE Database NJEIS Staff
Select counties with low performance and prepare available data on these counties including referral sources, birth registry data, and diagnosed conditions.	Ongoing Activity FFY 2007- <u>2012</u>	SPOE Database NJEIS Staff Birth Registry Staff & Data

SPP Template – Part C (3)

New Jersey
State

Improvement Activities	Timelines	Resources
REICs work with identified counties to analyze data, locate community resources and develop targeted child find and public awareness plans to increase the percentage of children birth to three in the identified county.	Ongoing Activity FFY 2007- <u>2012</u>	REIC Staff, County SCUs, Community Partners
Continue ongoing meetings between NJEIS and the Division of Youth and Family Services (DYFS) to develop policy and procedures to ensure appropriate referral of children under Child Abuse Prevention and Treatment Act (CAPTA) and/or potentially eligible children.	Ongoing Activity FFY 2007- <u>2012</u>	NJEIS State Staff, DYFS Staff
NJEIS and DYFS facilitation of regional and local collaboration with Child Welfare Planning Councils.	Completed FFY 2006	NJEIS State Staff, DYFS Staff, REIC Staff, Service Coordination, Child Welfare Planning Councils
Collaborate with the Family Support Committee of the SICC on activities to increase referral to NJEIS.	Ongoing Activity FFY 2007- <u>2012</u>	NJEIS, SICC, REICs
Complete two Physician Trainings through the State improvement Grant to encourage referral of children to NJEIS.	Completed FFY 2006	NJEIS, NJDOE-OSEP, SICC, REICs
Expand use of bilingual service coordinator associates (SCAs-paraprofessionals) to facilitate communication with families who are non-English-speaking.	Ongoing Activity FFY 2007- <u>2012</u>	NJEIS, Service Coordination
NJEIS Autism Project Specialist follows national research and incorporates the information into NJEIS recommended practice for the early identification of children on the autism spectrum.	Ongoing Activity FFY 2007- <u>2012</u>	NJEIS, Autism Project Specialist, NIH, NECTAC

Part C State Performance Plan (SPP) for FFY 2005-2012

Overview of the State Performance Plan Development: See overview description on page two

Monitoring Priority: Effective General Supervision Part C / Child Find

Indicator 7: Percent of eligible infants and toddlers with IFSPs for whom an evaluation and assessment and an initial IFSP meeting were conducted within Part C’s 45-day timeline.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Measurement:

Percent = [(# of eligible infants and toddlers with IFSPs for whom an evaluation and assessment and an initial IFSP meeting was conducted within Part C’s 45-day timeline) divided by the (# of infants and toddlers with IFSPs evaluated and assessed for whom an initial IFSP meeting was required to be conducted)] times 100.

Account for untimely evaluations, assessments, and initial IFSP meetings, including the reasons for delays.

Overview of Issue/Description of System or Process:

- NJEIS has a system point of entry in each county through the Special Child Health Services Case Management Units.
- NJEIS contracts with a minimum of one provider agency in each of the twenty-one counties to conduct targeted evaluation and assessment, determine eligibility and participate in the development of initial IFSPs.
- All areas of development are evaluated and/or assessed and reported through standard evaluation summaries and a state IFSP form.
- Following determination of eligibility the service coordinator convenes an initial IFSP meeting and locates services for the child and family.
- To ensure ongoing compliance and timely response to emerging issues, evaluations and initial IFSP timeline data are reviewed periodically as follows:
 - Service Coordination Units review weekly.
 - REICs review monthly.
- NJEIS data desk audits are conducted annually by lead agency staff to monitor timely evaluation, assessment and initial IFSP meeting timelines.
 - A 45 day timeline data run is conducted on all twenty-one counties.
 - Additional information is obtained as necessary from county service coordination units.
 - The twenty-one counties are ranked on this indicator.
 - Findings of non-compliance are determined and corrective action plans are developed including required evidence of change.
 - NJEIS provides technical assistance, monitors correction of the non-compliance and ensures correction of non-compliance within one year of identification of the non-compliance to the county.
- Each year NJEIS will determine focused areas for on-site monitoring based on statewide compliance and performance data.

Baseline Data for FFY 2004 (2004-2005):

86% percent of eligible infants and toddlers with IFSPs had an evaluation and assessment and an initial IFSP meeting conducted within Part C’s 45-day timeline.

Discussion of Baseline Data:

- The NJEIS conducted a Desk Audit of IFSP meetings held in November 2004 using a System Point of Entry (SPOE) database.
- In November 2004, NJ had 651 eligible infants and toddlers with initial IFSP meetings.
- As a result of the desk audit, a listing of children from each County Service Coordination Unit (SCU) whose initial IFSP meeting exceeded the forty-five day was prepared.
- An Inquiry Response Chart was developed to request additional information from twenty of the twenty-one county Service Coordination Units. Based on initial raw data, Hunterdon County had 100% compliance in the data run and was not requested to send additional information.
- The following data was requested from the remaining twenty Service Coordination Units to drill down to the reasons for delay in conducting the initial IFSP meeting:
 - A. The reason for delay for each child identified (Family delay; IFSP meeting held, IFSP not completed; NJEIS Delay)
 - B. The name of the Service Coordinator responsible for each child.
 - C. The barriers that affected meeting the timeline, the agency response, and the status of timely IFSP meetings.
- Of the 651 infants and toddlers, 562 resulted in an IFSP meeting conducted within 45 days or delays were due to family reasons. (562 of 651 * 100= 86%)
- The NJEIS reviewed the reasons for delays and determined that 9% of all Initial IFSP meetings were delayed as a result of untimely evaluation and assessment. In response to this identified issue, NJEIS:
 - By March 2005, NJEIS contracted with 3 new targeted evaluation teams.
 - Noted that poor communication existed between and among the Service Coordination Units, Targeted Evaluation Teams (TETs), REICs and NJEIS State office. Therefore, NJEIS required that all 21 county Service Coordination Units meet with their respective TETs and submit interagency procedures, including communication, to ensure timely evaluations and initial IFSP meetings. These were submitted to NJEIS by April 30, 2005.
- Based on an analysis of the inquiry review data, six of the twenty Service Coordination Units were cited for non-compliance and Corrective Action Plans were developed with required evidence of change statements as of April 29, 2005.
 - Three of the six counties achieved 100% compliance prior to the NJEIS required timeline for correction and are well within the Federal one year requirement for correction.
 - Three of six counties have continued to show improvement and are meeting the required NJEIS timelines for correction and are still well within the federally required timeline of correction within one year.
 - NJEIS follows Service Coordination Unit progress, requires monthly reports and provides technical assistance.

FFY	Measurable and Rigorous Target
2005 (2005-2006)	100 percent of eligible infants and toddlers with IFSPs will have an evaluation and assessment and an initial IFSP meeting conducted within Part C's 45-day timeline.
2006 (2006-2007)	100 percent of eligible infants and toddlers with IFSPs will have an evaluation and assessment and an initial IFSP meeting conducted within Part C's 45-day timeline.
2007 (2007-2008)	100 percent of eligible infants and toddlers with IFSPs will have an evaluation and assessment and an initial IFSP meeting conducted within Part C's 45-day timeline.
2008 (2008-2009)	100 percent of eligible infants and toddlers with IFSPs will have an evaluation and assessment and an initial IFSP meeting conducted within Part C's 45-day timeline.

SPP Template – Part C (3)

New Jersey
State

FFY	Measurable and Rigorous Target
2009 (2009-2010)	100 percent of eligible infants and toddlers with IFSPs will have an evaluation and assessment and an initial IFSP meeting conducted within Part C's 45-day timeline.
2010 (2010-2011)	100 percent of eligible infants and toddlers with IFSPs will have an evaluation and assessment and an initial IFSP meeting conducted within Part C's 45-day timeline.
<u>2011</u> <u>(2011-2012)</u>	<u>100 percent of eligible infants and toddlers with IFSPs will have an evaluation and assessment and an initial IFSP meeting conducted within Part C's 45-day timeline.</u>
<u>2012</u> <u>(2012-2013)</u>	<u>100 percent of eligible infants and toddlers with IFSPs will have an evaluation and assessment and an initial IFSP meeting conducted within Part C's 45-day timeline.</u>

Improvement Activities/Timelines/Resources (through FFY 2012):

Improvement Activities	Timelines	Resources
Track progress of individual referrals through SPOE data and as necessary address potential delays.	Ongoing Activity FFY 2006- <u>2012</u>	SPOE Database, SCUs, REICs
Complete a competitive Request for Proposal to increase the number of Targeted Evaluation Teams and ensure back-up TETs in each county.	Ongoing Activity FFY 2006- <u>2012</u>	NJEIS Staff REICs
Conduct monitoring activities on the 45 day requirement annually including a desk audit, conduct inquiry to obtain additional information from counties, issue findings of noncompliance if necessary, implement corrective action plans, provide technical assistance, and assure correction of noncompliance in accordance with federal requirements.	Ongoing Activity FFY 2006- <u>2012</u>	NJEIS state Monitoring staff SPOE Database

Part C State Performance Plan (SPP) for FFY 2005-2012

Overview of the State Performance Plan Development: See overview description on page two

Monitoring Priority: Effective General Supervision Part C / Effective Transition

Indicator 8: Percent of all children exiting Part C who received timely transition planning to support the child's transition to preschool and other appropriate community services by their third birthday including:

- A. IFSPs with transition steps and services;
- B. Notification to LEA, if child potentially eligible for Part B; and
- C. Transition conference, if child potentially eligible for Part B.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Measurement:

- A. Percent = [(# of children exiting Part C who have an IFSP with transition steps and services) divided by the (# of children exiting Part C)] times 100.
- B. Percent = [(# of children exiting Part C and potentially eligible for Part B where notification to the LEA occurred) divided by the (# of children exiting Part C who were potentially eligible for Part B)] times 100.
- C. Percent = [(# of children exiting Part C and potentially eligible for Part B where the transition conference occurred) divided by the (# of children exiting Part C who were potentially eligible for Part B)] times 100.

Account for untimely transition conferences, including reasons for delays.

Overview of Issue/Description of System or Process:

- NJEIS has a system of targeted ongoing service coordination in each county through twenty-one Service Coordination Units.
- Each family's on-going service coordinator is responsible to ensure that all Part C transition requirements are implemented.
- To ensure ongoing compliance and timely response to emerging issues, transition data are reviewed periodically as follows:
 - NJEIS Self Assessments are completed annually by all contracted agencies. Two of the self-assessment indicators that respond to Indicator #8 include:
 - IFSP include transition steps and services (A); and
 - Notification to LEA (B) (Added to SFY 2006 Self-assessment).Any noncompliance identified results in a corrective action plan with required evidence of change statements. All Corrective Action Plans are completed and noncompliance corrected as soon as possible but within one year of identification of the noncompliance to the agency.
 - NJEIS data desk audits are conducted annually by lead agency staff to monitor the occurrence of timely Transition Planning Conferences (TPC) (#8C). Desk audit procedures are as follows:
 - A timeline data report is run for all twenty-one counties on Transition Planning Conferences.
 - Additional information is obtained as necessary from county service coordination units.
 - The twenty-one counties are ranked on the indicator.
 - Findings of non-compliance are determined and corrective action plans are developed including required evidence of change.

- NJEIS provides technical assistance, monitors correction of the non-compliance and ensures correction on non-compliance as soon as possible but within one year of identification of the non-compliance to the county.
- Each Year NJEIS will determine focused areas for on-site monitoring based on statewide compliance and performance data.

Baseline Data for FFY 2004 (2004-2005):

- A. 94% of children exiting Part C had an IFSP with transition steps and services. (270 in compliance out of 288 records=94%)
- B. Notification to the LEA occurred for 92% of children exiting Part C and potentially eligible for Part B. (24 in compliance out of 26 records=92%)
- C. 85% of children exiting Part C and potentially eligible for Part B had the transition planning conference. (227 in compliance out of 268 records=85%)

Discussion of Baseline Data:

OSEP's October 4, 2005 APR response letter required that NJEIS provide (1) data and analysis demonstrating compliance with transition steps being included on the IFSP; and (2) updated data demonstrating progress toward compliance for holding a transition conference. These data are provided in response to the APR letter. NJEIS has provided data and analysis that demonstrates achievement of or progress toward 100% compliance in these requirements.

- To obtain baseline data for Indicator #8A, self assessment results from 04-05 were analyzed. According to results from all provider agencies, 94% of children exiting Part C had an IFSP with transition steps and services. NJEIS monitoring staff is in the process of obtaining additional information through the Inquiry process to determine if noncompliance exists and, if necessary, corrective action plans will be developed with required evidence of change ensuring correction within one year of identification.
- NJEIS has corrected the non-compliance in notification to the LEA identified through onsite focused monitoring. To obtain baseline data for Indicator #8B, during 2005-2006, NJEIS conducted transition focused on-site monitoring visits to three of the 21 county service coordination units that had the lowest performance results for conducting the transition planning conference. The following procedures were used to conduct three on-site focused monitoring visits to the service coordination units that had the lowest compliance for this indicator:
 - The state database was used to run a listing of child records to be reviewed during each on-site visit.
 - While on-site, NJEIS reviewed information in each child's record to ensure:
 - Notification to LEA if child was potentially eligible for Part B was documented (#8B);
 - If there was no documentation to LEA, documentation was present that the family chose not to refer or to self-refer; and
 - There was documentation that all other transition requirements were met.
 - In two of the three counties findings of non-compliance were determined and corrective action plans including required evidence of change were developed while on-site.
 - NJEIS provided technical assistance, monitored correction of the non-compliance and ensured correction of non-compliance by November 30, 2005 which was within one year of identification of the non-compliance in each of the counties.
 - Baseline for Indicator #8B, as obtained from these onsite visits, is "Notification to the LEA occurred for 92% of children exiting Part C who were potentially eligible for Part B."
- NJEIS has made significant progress in correcting non-compliance in conducting transition planning conferences. As a result the percent of children exiting Part C and potentially eligible for Part B had the transition planning conference has increased to 92% (255 in compliance out of 276 records). To determine the baseline for Indicator #8C, NJEIS conducted a Desk Audit of all children born in November 2001, who would be three in November 2004 using a System Point of Entry (SPOE) database on 4/20/05.
 - As a result of the desk audit, a listing of children whose transition conference was untimely (less than 90 days), was run by Service Coordination Unit and an Inquiry Response Chart

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was developed to request additional information from twenty of the twenty-one county Service Coordination Units. Based on the initial raw data, Salem County had no children born in November 2001 and therefore was not requested to complete an Inquiry Response Chart.

- The following data was requested from the remaining twenty Service Coordination Units to drill down to the reasons for delay in conducting the transition conference:
 - A. The reason for delay for each child identified (Family delay; EI System delay, LEA delay)
 - B. The name of the Service Coordinator responsible for each child.
 - C. The barriers that affected meeting the timeline, the agency response, and the status of timely Transition conferences.
- Based on an analysis of the inquiry review data, nine of the twenty Service Coordination Units were cited for non-compliance with the Transition Planning Conference and Corrective Action Plans were developed with required evidence of change statements as of September 1, 2005.
 - Four of the nine counties achieved 100% compliance prior to the NJEIS required timeline for correction which was well within the federal requirement of correction within one year.
 - Three of the nine counties have continued to show improvement and are meeting the required NJEIS timelines for correction which are well within the federal requirement of correction in one year.
 - Two of the nine counties have continued to show improvements but are not meeting the level of improvement as per their state required evidence of change timeline. However, these two counties are still well within the federally required timeline of correction within one year. These two agencies have received additional technical assistance and on-site visits are planned.
- In order to address transition requirements, NJEIS is completing the following activities:
 - NJEIS lead staff provided training and technical assistance to each agency that was found to have non-compliance.
 - NJEIS follows Service Coordination Unit progress, requires monthly reports and provides technical assistance.

	Measurable and Rigorous Target
FFY 2005 (2005-2006)	A. 100% of all children exiting Part C will receive timely transition planning to support the child's transition to preschool and other appropriate community services by their third birthday including: IFSPs with transition steps and services.
	B. 100% of all children exiting Part C will receive timely transition planning to support the child's transition to preschool and other appropriate community services by their third birthday including notification to LEA, if child is potentially eligible for Part B.
	C. 100% of all children exiting Part C will receive timely transition planning to support the child's transition to preschool and other appropriate community services by their third birthday including: a transition conference, if the child is potentially eligible for Part B.
FFY 2006 (2006-2007)	A. 100% of all children exiting Part C will receive timely transition planning to support the child's transition to preschool and other appropriate community services by their third birthday including: IFSPs with transition steps and services.
	B. 100% of all children exiting Part C will receive timely transition planning to support the child's transition to preschool and other appropriate community services by their third birthday including notification to LEA, if child is potentially eligible for Part B.
	C. 100% of all children exiting Part C will receive timely transition planning to support the child's transition to preschool and other appropriate community services by their third birthday including: a transition conference, if the child is potentially eligible for Part B.

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	Measurable and Rigorous Target
FFY 2007 (2007-2008)	A. 100% of all children exiting Part C will receive timely transition planning to support the child's transition to preschool and other appropriate community services by their third birthday including: IFSPs with transition steps and services.
	B. 100% of all children exiting Part C will receive timely transition planning to support the child's transition to preschool and other appropriate community services by their third birthday including notification to LEA, if child is potentially eligible for Part B.
	C. 100% of all children exiting Part C will receive timely transition planning to support the child's transition to preschool and other appropriate community services by their third birthday including: a transition conference, if the child is potentially eligible for Part B.
FFY 2008 (2008-2009)	A. 100% of all children exiting Part C will receive timely transition planning to support the child's transition to preschool and other appropriate community services by their third birthday including: IFSPs with transition steps and services.
	B. 100% of all children exiting Part C will receive timely transition planning to support the child's transition to preschool and other appropriate community services by their third birthday including notification to LEA, if child is potentially eligible for Part B.
	C. 100% of all children exiting Part C will receive timely transition planning to support the child's transition to preschool and other appropriate community services by their third birthday including: a transition conference, if the child is potentially eligible for Part B.
FFY 2009 (2009-2010)	A. 100% of all children exiting Part C will received timely transition planning to support the child's transition to preschool and other appropriate community services by their third birthday including: IFSPs with transition steps and services.
	B. 100% of all children exiting Part C will receive timely transition planning to support the child's transition to preschool and other appropriate community services by their third birthday including notification to LEA, if child is potentially eligible for Part B.
	C. 100% of all children exiting Part C will receive timely transition planning to support the child's transition to preschool and other appropriate community services by their third birthday including: a transition conference, if the child is potentially eligible for Part B.
FFY 2010 (2010-2011)	A. 100% of all children exiting Part C will receive timely transition planning to support the child's transition to preschool and other appropriate community services by their third birthday including: IFSPs with transition steps and services.
	B. 100% of all children exiting Part C will receive timely transition planning to support the child's transition to preschool and other appropriate community services by their third birthday including notification to LEA, if child is potentially eligible for Part B.
	C. 100% of all children exiting Part C will receive timely transition planning to support the child's transition to preschool and other appropriate community services by their third birthday including: a transition conference, if the child is potentially eligible for Part B.
<u>FFY 2011</u> <u>(2011-2012)</u>	A. <u>100% of all children exiting Part C will received timely transition planning to support the child's transition to preschool and other appropriate community services by their third birthday including: IFSPs with transition steps and services.</u>

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	Measurable and Rigorous Target
	B. <u>100% of all children exiting Part C will receive timely transition planning to support the child's transition to preschool and other appropriate community services by their third birthday including notification to LEA, if child is potentially eligible for Part B.</u>
	C. <u>100% of all children exiting Part C will receive timely transition planning to support the child's transition to preschool and other appropriate community services by their third birthday including: a transition conference, if the child is potentially eligible for Part B.</u>
FFY 2012 (2012-2013)	A. <u>100% of all children exiting Part C will received timely transition planning to support the child's transition to preschool and other appropriate community services by their third birthday including: IFSPs with transition steps and services.</u>
	B. <u>100% of all children exiting Part C will receive timely transition planning to support the child's transition to preschool and other appropriate community services by their third birthday including notification to LEA, if child is potentially eligible for Part B.</u>
	C. <u>100% of all children exiting Part C will receive timely transition planning to support the child's transition to preschool and other appropriate community services by their third birthday including: a transition conference, if the child is potentially eligible for Part B.</u>

Improvement Activities/Timelines/Resources (through FFY 2012)

Improvement Activities	Timelines	Resources
Conduct monitoring activities on the transition planning conference requirements and exiting data annually including a desk audit, conduct inquiry to obtain additional information from counties, issue findings of noncompliance if necessary, implement corrective action plans, provide technical assistance and assure correction of noncompliance in accordance with federal requirements.	Ongoing Activity FFY 2006-2012	NJEIS state Monitoring staff SPOE Database
Revise the self assessment tool to collect LEA notification information.	Completed FFY 2006	NJEIS Staff REICs
Track transition activities through monthly self-assessment record reviews and as necessary address issues for improvement.	Ongoing Activity FFY 2006-2012	Service Coordination Units
Conduct review of self-assessment data and any county developed improvement plans annually, conduct inquiry to obtain additional information from counties, issue findings of noncompliance if necessary, implement corrective action plans, provide technical assistance, and assure correction of noncompliance in accordance with federal requirements.	Ongoing Activity FFY 2006-2012	NJEIS state Monitoring staff REICs SPOE Database
Enhance SPOE to allow REICs and Service Coordination Units to run reports to track status of transition planning conferences.	Completed FFY 2008	NJEIS Staff Covansys Contractor

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Improvement Activities	Timelines	Resources
When the enhancement to SPOE is completed, track transition planning conference data through SPOE data report and as necessary address potential issues in meeting the requirements.	Completed FFY 2008	SPOE Database, SCUs, REICs
Create and implement a Transition Planning Page for the IFSP form and process.	Completed FFY 2006	NJEIS Staff, REICs
Continue availability of workshops for families at the regional collaborative offices and transition trainings conducted in collaboration between Parts B & C.	Ongoing Activity FFY 2006- <u>2012</u>	NJEIS Staff, NJDOE- OSEP, REICs, SPAN
Plan for and conduct a statewide training on Transition requirements.	Ongoing Activity FFY 2006- <u>2012</u>	NJEIS Staff, NJDOE- OSEP, REICs
Revise and disseminate the Transition Handbook for families.	Pending Final Part C regulations and Clarifications on transition responsibilities released by OSEP Dec. 2009.	NJEIS Staff, NJDOE- OSEP, REICs, SCUs, SPAN (PTI)
Discuss with NJDOE-OSEP the opportunity for collaboration between the Part C and Part B stakeholders regarding transition activities and issues.	Ongoing Activity FFY 2006- <u>2012</u>	NJEIS Staff NJDOE-OSEP Stakeholders

Part C State Performance Plan (SPP) for FFY 2005-2012

Overview of the State Performance Plan Development: See overview description on page two

Monitoring Priority: Effective General Supervision Part C / General Supervision

Indicator 9: General supervision system (including monitoring, complaints, hearings, etc.) identifies and corrects noncompliance as soon as possible but in no case later than one year from identification.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Measurement:

Percent of noncompliance corrected within one year of identification:

- a. # of findings of noncompliance.
- b. # of corrections completed as soon as possible but in no case later than one year from identification.

Percent = [(b) divided by (a)] times 100.

States are required to use the "Indicator 9 Worksheet" to report data for this indicator (see Attachment A).

Overview of Issue/Description of System or Process:

• **NJEIS Structure for General Supervision**

- The New Jersey Department of Health and Senior Services (DHSS) is designated by the State of New Jersey as the lead agency for early intervention for children, birth to age three, with developmental delays/disabilities and their families. As such, DHSS is ultimately responsible for implementing its general supervisory authority to ensure the availability of appropriate early intervention services for eligible infants, toddlers and their families in accordance with the Part C requirements under IDEA.
- DHSS has a structure in place to support significant activities that promote the implementation and enhancement of the early intervention system. Through contract mechanisms, DHSS supports four Regional Early Intervention Collaboratives (REICs) that are responsible for local planning, development, and implementation of the early intervention system and for ensuring that families have a voice in decision-making on Regional Boards. Each of the four REICs employs at least one full-time Training and Technical Assistance Coordinator and one full-time Family Support Coordinator. The Family Support Coordinator positions are required to be staffed by a parent of a child with a disability; while not mandatory, two of the Training and Technical Assistance Coordinators are staffed by parents of children with disabilities.
- The Procedural Safeguards Office was established in 1998 to ensure the effective implementation of procedural safeguards by each public agency and private provider in the state involved in the provision of early intervention services.
- The NJEIS implements a general supervision system that identifies deficiencies, ensures correction in a timely manner and promotes enhanced performance and results for children and families. This is accomplished through the procedural safeguards system, a central management information system, data performance and compliance analysis, data verification, public reporting of data, contracts management, agency self-assessment, policies and procedures, stakeholder involvement, family surveys, incident reports, improvement plans, corrective action plans, on-site focused monitoring, personnel development, training, technical assistance, sanctions and enforcement.

- **Coordination of General Supervision Functions to Ensure Correction of Noncompliance and Improvement of Performance**
 - NJEIS collects, reviews and utilizes data for general supervision through an electronic central data system that:
 - Ensures an unduplicated count for federal reporting;
 - Verifies data;
 - Establishes and utilizes trend data for improvement planning;
 - Identifies potential areas of non-compliance that are then targeted for follow-up by telephone, record submission or site visit; and
 - Allows tracking of required corrective actions.
 - A significant component of NJEIS general supervision system is the performance desk audit process that was developed and implemented using data compiled through the System Point of Entry (SPOE) database. The purpose of the SPOE data desk audit is to: (1) ensure data in SPOE are accurate; and (2) to identify noncompliance and areas for improvement.
 - SPOE data desk audits began in February 2005. Compliance and performance data for selected priority indicators are ranked for all counties/provider agencies. An inquiry response format has been developed and implemented to verify accuracy of data, request missing information and determine if barriers were appropriately addressed to correct performance issues. As needed, improvement and corrective action plans are required. All of the corrective action plans requested ensure that correction of non-compliance is achieved within six months of the issue date.
 - The Procedural Safeguards Office has helped to ensure that parents receive and understand their rights and have access to formal, as well as informal systems of dispute resolution, as needed. Procedural safeguards are available to all families and are described in the booklet entitled “Family Rights in the New Jersey Early Intervention System”.
 - Service coordinators are given the responsibility to assist families in accessing informal and formal dispute resolution including completion and submission of requests for formal dispute resolution, if desired. If a parent is seeking dispute resolution concerning their service coordinator, the unit supervisor and/or the relevant REIC are available to assist the family.
 - Parent liaisons are available through the Procedural Safeguards Office to advise parents of their rights under the Early Intervention System and help them understand the options available to them when disputes arise. Parents can work with staff from the REICs, service providers, and the system’s parent liaisons to resolve concerns in an attempt to avoid formal procedures whenever possible.
 - NJEIS utilizes an informal dispute resolution process adopted by the Procedural Safeguards Office. It includes:
 - The Procedural Safeguards Office and designated consultant parent liaisons respond to parent issues/concerns and document contacts on state logs for review and analysis.
 - Parents can contact the Procedural Safeguards Office through a toll-free hotline and the nature and scope of their concerns are gathered by a consultant parent liaison within two (2) business days.
 - Complainants who call are always advised of their right to file a request for formal dispute resolution at any time.
 - Most informal matters are resolved within ten (10) business days and only on rare occasions, where the Procedural Safeguards Office is awaiting documentation to support/dispel the complainant’s allegations, does the matter go unresolved beyond ten (10) business days from the date of the complainant’s call to the hotline.
 - The Procedural Safeguards Coordinator directly intervenes to resolve an informal dispute if the matter cannot be resolved within ten (10) business days, the family specifically requests that the Procedural Safeguards Coordinator directly investigate the matter, or the consultant family liaison determines that the Procedural Safeguards Coordinator should resolve the matter due to the complexity of the dispute.
 - Whenever a complainant requests formal dispute resolution, the Procedural Safeguards Office explains to the complainant how to download the Formal Dispute Resolution

Request form off the Procedural Safeguards Office website or arranges to mail the forms, and provides families with flowcharts describing the formal dispute resolution processes to help families to understand the processes and timelines for dispute resolution.

- The Procedural Safeguards office continues to provide procedural safeguards training on a regional basis to parents and providers.
- NJEIS developed and implemented a revised database tracking system for use by the Parent Liaisons, and the Procedural Safeguards Office to document informal and formal communications from parents by telephone, emails and/or written letters. The tool tracks date of request, issues, resolutions, and timelines by county. The database provides for unique identifiers that track when informal concerns become requests for formal dispute resolution. A list of issue categories for statewide reporting of informal and formal disputes ensures county/regional/statewide systemic response to issues as necessary.
- A review and analysis of the procedural safeguards database is conducted on a regular basis. Information is shared with state entities including CSPD, REICs, county units and provider agencies, as necessary to facilitate systematic training and technical assistance. The Procedural Safeguards Office reports to the SICC on informal and formal complaints and resulting system responses.
- Formal dispute resolution procedures are used to identify and correct non-compliance. These include:
 - A statewide mediation system is available to ensure parents may voluntarily access a non-adversarial process for the resolution of individual disputes regarding the NJEIS including identification, evaluation and assessment, eligibility determination, placement or the provision of appropriate early intervention services. The Procedural Safeguards Office identifies community dispute resolution centers, mediation centers, and/or individual mediators to provide early intervention mediation services. Mediators are required to undergo training as a condition of serving as mediators. The Procedural Safeguards Office maintains a list of qualified and impartial mediators who are trained in effective mediation techniques and are knowledgeable in laws, regulations and guidelines related to the provision of early intervention services.
 - A statewide impartial hearing system is available to ensure parents may voluntarily access a fair process for the resolution of individual disputes regarding the provision of early intervention services including identification, evaluation and assessment, eligibility determination, placement or the provision of appropriate early intervention services. The Procedural Safeguards Office identifies panels of impartial hearing officers to conduct due process hearings. Hearing panels are composed of a parent of a child who received early intervention services, an attorney, and a professional in the field of early childhood. Impartial hearing panel members must have knowledge about the provisions of Part C of IDEA and the needs of and services available for eligible children and their families. They are required to undergo training as a condition of serving as impartial hearing officers.
 - A complaint resolution process is available to address complaints filed by individual, families, groups, organizations, or from any source, including an organization or individual from another state, indicating a deficiency(s) in the fulfillment of the requirements, or a violation of the requirements, by public or private agencies, which are or have been receiving financial funding or payment under Part C of IDEA or other pertinent state or federal early intervention legislation; or by other public agencies involved in the state's early intervention system. The Procedural Safeguards Office is responsible for investigating and resolving complaints in accordance with Part C requirements.
- An annual self-assessment process is implemented for all provider agencies. This process requires monthly observations and record reviews with annual submission of data to the REICs in September of each year. Any noncompliance identified through the self-assessment process requires a corrective action plan to ensure that all noncompliance is corrected as soon as possible but in no case more than one year from the identification of the noncompliance to the program.

- NJEIS is utilizing the Family Survey developed by the National Center Special Education Accountability Monitoring (NCSEAM). The NJEIS participated in the piloting of the NCSEAM Part C family survey. This instrument and process has been selected because of the rigorous development process it underwent to ensure that the data obtained are valid and reliable. Data from the family survey will be analyzed as part of the identification of issues and areas for improvement. See Indicator 3 for a discussion of how the survey will be implemented and the data utilized.
- On-site focused monitoring is an important component of the NJEIS general supervision system. Each Year NJEIS will determine focused areas for on-site monitoring based on statewide compliance and performance data. Improvement plans and corrective actions plans are issued as a result of findings from onsite visits. The lead agency ensures that all noncompliance is corrected within one year of identification of the noncompliance to the local program. Decisions to conduct on-site focused monitoring visits may be made under the following circumstances: (1) Annually four to six visits are made based on ranked performance data related to priority indicators; (2) As needed, based on incident reports or procedural safeguards complaints; and (3) As needed, based on concerns identified through on-going review of system point of entry (SPOE) or self-assessment data.
- Incident Reports are used to follow-up on specific issues identified by parents, provider agencies, or practitioners to ensure that an individual incident is not indicative of a systemic problem. The incident report requires a provider agency to document that the specific incident is not an indicator of a systemic problem. If the provider agency submits insufficient/non-conclusive documentation or identifies performance issues, the lead agency proceeds with an appropriate next step that may include: desk audit performance review, on-site focused monitoring, improvement plan or corrective action plan.
- In New Jersey the Comprehensive System of Personnel Development (CSPD) is designed as a statewide network of regional training and technical assistance coordinators (T&TA) who work at the regional/local provider level under the guidance of the REICs and state CSPD Coordinator. The New Jersey CSPD:
 - Works on behalf of NJEIS to promote staff recruitment, preparation, qualification, support, and retention in order to assure an adequate supply of qualified, capable and skilled early intervention personnel.
 - Provides training for a variety of early intervention practitioners, including service coordinators and paraprofessionals; families; and primary referral sources.
 - Ensures that training relates specifically to understanding the basic components of early intervention services, the federal Part C requirements, and how to coordinate transition services for infants and toddlers with disabilities from early intervention to a preschool program under Part B of IDEA or to other early childhood services, if needed.
 - Provides regional ongoing targeted training and technical assistance to program administrators, service coordinators, and service providers to address areas in need of improvement as well as areas of noncompliance as identified through general supervision activities.
- New Jersey maintains and monitors standards for all early intervention practitioners, requiring educational background and licensure as appropriate for each position in the state.

Baseline Data for FFY 2004 (2004-2005):

Requirement	9Aa Number of Findings Issued	9Ab Number of Corrections Made
Transition Steps included in the IFSP	2	2
Transition Planning Conference	9	4

9B – No findings of non-compliance were made related to areas not included in the monitoring priority areas and indicators.

9C – No findings of non-compliance were identified through complaints, due process hearings or mediations.

Revised Baseline FFY 2004

Revised baseline data for FFY 2004 are being submitted in response to changes in the measurement requirements for Indicator #9 that were provided by OSEP. In the original SPP from December 2005, findings of noncompliance and corrections were to be reported disaggregated into three categories (9A, 9B and 9C). Revised measurement directions indicate that all findings and corrections from the given fiscal year are to be reported aggregated as total number of findings and total number of corrections. Data are to be reported according to specific indicators. As a result, the revised baseline for indicator 9 is provided below.

SPP Indicator	9a Number of Findings Issued	9b Number of Corrections Made
8a. Transition Steps included in the IFSP	2	2
8c. Transition Planning Conference	9	4

Discussion of Baseline Data:

NJEIS has found that the use of an effective and comprehensive informal procedure to successfully address parents’ issues and concerns resolves issues quickly without families having to use more formal mechanisms. Parents are advised that they may request formal resolution of complaints at any time.

Onsite focused monitoring on transition resulted in two findings of non-compliance related to transition steps included in the IFSP. Corrective action plans including required evidence of change were developed while on-site and corrections have been completed.

Based on an analysis of inquiry review data, nine of twenty Service Coordination Units were cited for non-compliance with the Transition Planning Conference and Corrective Action Plans were developed with required evidence of change statements.

- Four of the nine counties achieved 100% compliance prior to the NJEIS required timeline for correction which was well within the federal requirement of correction within one year.
- Three of the nine counties have continued to show improvement and are meeting the required NJEIS timelines for correction which are well within the federal requirement of correction in one year.
- Two of the nine counties have continued to show improvements but are not meeting the level of improvement as per their state required evidence of change timeline. However, these two counties are still well within the federally required timeline of correction within one year. These two agencies have received additional technical assistance and on-site visits are planned.

The Federal US Department of Education, Office of Special Education Programs (OSEP) response to the NJEIS 2003 Annual Performance Report required that NJEIS submit data demonstrating compliance with the requirement to include steps on the IFSP to support the transition of the child and family as required by 34 CFR 303.344 (h). The response to this indicator is as follows:

- NJEIS performed focused on-site monitoring and technical assistance to agencies who had the lowest performance results in conducting Transition Planning Conferences:

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- NJEIS conducted a Transition Planning Conferences timeline data run which identified children who would be turning three.
- During 2005, three focused on-site visits were conducted.
- During these visits, NJEIS Monitoring staff randomly sampled 29 charts identified through the SPOE data run.
- Transition information reviewed included:
 - With parental consent, the transmission of information about the child was released to the LEA including evaluation and assessment information and copies of IFSPs.
 - If child was potentially eligible for Part B documentation was present that notification was made to the LEA. If there was no documentation of notice to the LEA, reviewers looked for documentation that the family chose not to refer or decided to make the referral themselves.
 - Documentation that the Transition to Preschool Booklet was received and reviewed with the family.
- Based on an analysis of the on-site focused monitoring including interviews with service coordinators, NJEIS:
 - Determined that some delays in conducting a transition planning conference were due to service coordinators waiting for LEA response to invitations to participate in the conference.
 - Determined that in some cases, LEAs waited to conduct transition planning in combination with a Part B identification meeting.
- NJEIS clarified while onsite that if the LEA does not get back to the service coordinator in a timely manner, the service coordinator must conduct a timely transition planning conference without LEA representation.

FFY	Measurable and Rigorous Target
2010 (2010-2011)	100% of findings will be corrected as soon as possible but in no case later than one year of identification.
2005 (2005-2006)	100% of findings will be corrected as soon as possible but in no case later than one year of identification.
2006 (2006-2007)	100% of findings will be corrected as soon as possible but in no case later than one year of identification.
2007 (2007-2008)	100% of findings will be corrected as soon as possible but in no case later than one year of identification.
2008 (2008-2009)	100% of findings will be corrected as soon as possible but in no case later than one year of identification.
2009 (2009-2010)	100% of findings will be corrected as soon as possible but in no case later than one year of identification.
2010 (2010-2011)	100% of findings will be corrected as soon as possible but in no case later than one year of identification.
<u>2011</u> (2011-2012)	<u>100% of findings will be corrected as soon as possible but in no case later than one year of identification.</u>
<u>2012</u> (2012-2013)	<u>100% of findings will be corrected as soon as possible but in no case later than one year of identification.</u>

Improvement Activities/Timelines/Resources (through FFY 2012):

Improvement Activities	Timelines	Resources
Conduct Annual Desk Audits with SPOE data to identify potential non-compliance, conduct inquiry to obtain additional information as needed, issue findings of noncompliance if necessary, implementation of corrective action plans, provide of technical assistance, and assure correction of noncompliance in accordance with federal requirements.	Ongoing Activity FFY 2006- <u>2012</u>	NJEIS Monitoring Staff
Conduct Incident Report inquiry with provider agencies to determine if individual child/family issues raised with the Procedural Safeguards Office are indicative of a systemic problem and, if yes, cite a finding of noncompliance, implement a corrective action plan, provide technical assistance, and assure correction of noncompliance in accordance with federal requirements.	Ongoing Activity FFY 2006- <u>2012</u>	NJEIS Monitoring Staff
Identify potential non-compliance issues through annual self-assessment data analysis, conduct inquiry to obtain additional information as needed, issue findings of noncompliance if necessary, implement corrective action plans, provide technical assistance, and assure correction of noncompliance in accordance with federal requirements.	Ongoing Activity FFY 2006- <u>2012</u>	NJEIS Monitoring Staff REICs
Conduct on-site focused monitoring visits based on incident reports, procedural safeguards complaints, self-assessment data and concerns identified through on-going review of system point of entry (SPOE) database.	Ongoing Activity FFY 2006- <u>2012</u>	NJEIS Staff
Identify areas for additional professional development using data from Procedural Safeguards Office reports and implement professional development activities as needed to ensure compliance.	Ongoing Activity FFY 2006- <u>2012</u>	NJEIS Staff
Review information from procedural safeguards workshops to identify area on which clarification of law, regulations, policies and procedures are needed to ensure compliance. Issue and disseminate clarifications as needed.	Ongoing Activity FFY 2006- <u>2012</u>	NJEIS Staff

Part C State Performance Plan (SPP) for FFY 2005-2012

Overview of the State Performance Plan Development: See overview description on page two

Monitoring Priority: Effective General Supervision Part C / General Supervision

Indicator 10: Percent of signed written complaints with reports issued that were resolved within 60-day timeline or a timeline extended for exceptional circumstances with respect to a particular complaint.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Measurement: Percent = $[(1.1(b) + 1.1(c)) \text{ divided by } 1.1] \text{ times } 100.$

Overview of Issue/Description of System or Process:

See Overview of Issue/Description in Indicator 9.

Baseline Data for FFY 2004 (2004-2005):

Two signed written complaints were received and dismissed on the basis that they failed to allege a Part C violation.

Discussion of Baseline Data:

NJEIS has found that the use of an effective and comprehensive informal procedure to successfully address parents' issues and concerns resolves issues quickly without families having to use more formal mechanisms. Parents are advised that they may request formal resolution of complaints at any time.

During SFY 2004-2005, the Procedural Safeguards Office received and documented the resolution of 145 informal issues utilizing the procedures described in Indicator 9. In all instances parents opted not to request formal resolution. Examples of these informal issues reviewed and resolved include:

- Delay/disruption of services (39%)
- Choice/Availability of provider/therapist (22%)
- Autism (5%)
- Services beyond age 3 (5%)
- Appropriate services (5%)
- Other issues (24%)

FFY	Measurable and Rigorous Target
2005 (2005-2006)	100% of signed written complaints with reports issued will be resolved within 60-day timeline or a timeline extended for exceptional circumstances with respect to a particular complaint.
2006 (2006-2007)	100% of signed written complaints with reports issued will be resolved within 60-day timeline or a timeline extended for exceptional circumstances with respect to a particular complaint.
2007 (2007-2008)	100% of signed written complaints with reports issued will be resolved within 60-day timeline or a timeline extended for exceptional circumstances with respect to a particular complaint.

SPP Template – Part C (3)

New Jersey
State

FFY	Measurable and Rigorous Target
2008 (2008-2009)	100% of signed written complaints with reports issued will be resolved within 60-day timeline or a timeline extended for exceptional circumstances with respect to a particular complaint.
2009 (2009-2010)	100% of signed written complaints with reports issued will be resolved within 60-day timeline or a timeline extended for exceptional circumstances with respect to a particular complaint.
2010 (2010-2011)	100% of signed written complaints with reports issued will be resolved within 60-day timeline or a timeline extended for exceptional circumstances with respect to a particular complaint.
2011 (2011-2012)	<u>100% of signed written complaints with reports issued will be resolved within 60-day timeline or a timeline extended for exceptional circumstances with respect to a particular complaint.</u>
2012 (2012-2013)	<u>100% of signed written complaints with reports issued will be resolved within 60-day timeline or a timeline extended for exceptional circumstances with respect to a particular complaint.</u>

Improvement Activities/Timelines/Resources (through FFY 2012):

Improvement Activities	Timelines	Resources
Maintain a procedural safeguards database to track requests for alternative dispute resolution.	Ongoing Activity FFY 2006- <u>2012</u>	NJEIS State Staff
Evaluate and revise the procedural safeguards training format and materials following completion of federal regulations resulting from IDEA reauthorization.	Ongoing Activity FFY 2006- <u>2012</u>	NJEIS State Staff
Conduct periodic procedural safeguards trainings in each region for practitioners and families.	Ongoing Activity FFY 2006- <u>2012</u>	NJEIS Training Team
Link the REIC data regarding informal complaints by families resolved at regional level with the state procedural safeguards database.	<u>*FFY 2010-2011</u>	NJEIS State Staff
Explore the feasibility of developing online training and other innovative learning opportunities for families and practitioners.	Ongoing Activity FFY 2006- <u>2012</u>	NJEIS State Staff SPAN
Revise Family Rights Booklet.	Completed October 2009	NJEIS State Staff

*This activity has been delayed due to insufficient staffing resources.

Part C State Performance Plan (SPP) for FFY 2005-2012

Overview of the State Performance Plan Development: See overview description on page two

Monitoring Priority: Effective General Supervision Part C / General Supervision

Indicator 11: Percent of fully adjudicated due process hearing requests that were fully adjudicated within the applicable timeline.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Measurement: Percent = [(3.2(a) + 3.2(b)) divided by 3.2] times 100.

Overview of Issue/Description of System or Process:

See Overview of Issue/Description of System or Process in Indicator 9.

Baseline Data for FFY 2004 (2004-2005):

Two due process hearing requests were received in conjunction with Mediation requests. The hearing requests were withdrawn following resolution through Mediation.

Discussion of Baseline Data:

NJEIS has found that the use of an effective and comprehensive informal procedure to successfully address parents’ issues and concerns resolves issues quickly without families having to use more formal mechanisms. Parents are advised that they may request formal impartial due process hearings at any time.

During SFY 2004-2005, the Procedural Safeguards Office received and documented the resolution of 145 informal issues utilizing the procedures described in Indicator 9. In all instances parents opted not to request a Due Process Hearing. Examples of these informal issues reviewed and resolved include:

- Delay/disruption of services (39%)
- Choice/Availability of provider/therapist (22%)
- Autism (5%)
- Services beyond age 3 (5%)
- Appropriate services (5%)
- Other issues (24%)

FFY	Measurable and Rigorous Target
2005 (2005-2006)	100% of fully adjudicated due process hearing requests will be fully adjudicated within the applicable timeline.
2006 (2006-2007)	100% of fully adjudicated due process hearing requests will be fully adjudicated within the applicable timeline
2007 (2007-2008)	100% of fully adjudicated due process hearing requests will be fully adjudicated within the applicable timeline

SPP Template – Part C (3)

New Jersey
State

FFY	Measurable and Rigorous Target
2008 (2008-2009)	100% of fully adjudicated due process hearing requests will be fully adjudicated within the applicable timeline
2009 (2009-2010)	100% of fully adjudicated due process hearing requests will be fully adjudicated within the applicable timeline
2010 (2010-2011)	100% of fully adjudicated due process hearing requests will be fully adjudicated within the applicable timeline
<u>2011</u> (2011-2012)	<u>100% of fully adjudicated due process hearing requests will be fully adjudicated within the applicable timeline</u>
<u>2012</u> (2012-2013)	<u>100% of fully adjudicated due process hearing requests will be fully adjudicated within the applicable timeline</u>

Improvement Activities/Timelines/Resources (through 2012):

Improvement Activities	Timelines	Resources
Maintain a procedural safeguards database to track requests for dispute resolution.	Ongoing Activity FFY 2006- <u>2012</u>	NJEIS State Staff
Link the REIC data regarding informal complaints by families resolved at regional level with the state procedural safeguards database.	FFY 2010- <u>2012</u> *	NJEIS State Staff
Evaluate and revise the procedural safeguards training format and materials following completion of federal regulations resulting from IDEA reauthorization.	Ongoing Activities FFY 2006- <u>2012</u>	NJEIS State Staff
Conduct bi-annual or more frequently as needed, training for Hearing Officers.	Completed FFY 2008 No longer Applicable	NJEIS State Staff
Conduct periodic procedural safeguards trainings in each region for practitioners and families.	Ongoing Activity FFY 2006- <u>2012</u>	NJEIS Training Team
Continue recruitment of hearing officers to ensure adequate coverage for hearings requested.	Completed FFY 2008 No Longer Applicable	NJEIS State Staff REICs
Revise Family Rights Booklet.	Completed October 2009	NJEIS State Staff

*This activity has been delayed due to insufficient staffing resources.

Part C State Performance Plan (SPP) for FFY 2005-2012

Overview of the State Performance Plan Development: See overview description on page two

Monitoring Priority: Effective General Supervision Part C / General Supervision

Indicator 12: Percent of hearing requests that went to resolution sessions that were resolved through resolution session settlement agreements (applicable if Part B due process procedures are adopted).

(20 U.S.C. 1416(a)(3)(B) and 1442)

Measurement:

Percent = (3.1(a) divided by (3.1) times 100.

Overview of Issue/Description of System or Process:

Not applicable for New Jersey Part C system because Part B due process procedures have not been adopted by NJEIS.

Part C State Performance Plan (SPP) for FFY 2005-2012

Overview of the State Performance Plan Development: See overview description on page two

Monitoring Priority: Effective General Supervision Part C / General Supervision

Indicator 13: Percent of mediations held that resulted in mediation agreements.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Measurement: Percent = [(2.1(a)(i) + 2.1(b)(i)) divided by 2.1] times 100.

Overview of Issue/Description of System or Process:

See Overview of Issue/Description of System or Process in Indicator 9.

Baseline Data for FFY 2004 (2004-2005):

100% of requests for mediation resulted in mediation agreements in FY 2004-2005.

Discussion of Baseline Data:

Three requests for mediation were received. One request for mediation was settled prior to conducting a mediation session. Two requests for mediation resulted in settlement agreements. Over the last three fiscal years, 100% of all requests for mediation resulted in settlement agreements.

NJEIS has found that the use of an effective and comprehensive informal procedure to successfully address parents’ issues and concerns resolves issues quickly without families having to use more formal mechanisms. Parents are advised that they may request mediation at any time.

Fiscal Year	Number of Mediation Requests	Number of Agreements Reached
2002	2	2
2003	9	9
2004	3	3

FFY	Measurable and Rigorous Target
2005 (2005-2006)	NJEIS had less than ten mediation requests; therefore no targets have been set for this indicator.
2006 (2006-2007)	NJEIS had less than ten mediation requests; therefore no targets have been set for this indicator.
2007 (2007-2008)	NJEIS had less than ten mediation requests; therefore no targets have been set for this indicator.

SPP Template – Part C (3)

New Jersey
State

FFY	Measurable and Rigorous Target
2008 (2008-2009)	NJEIS had less than ten mediation requests; therefore no targets have been set for this indicator.
2009 (2009-2010)	NJEIS had less than ten mediation requests; therefore no targets have been set for this indicator.
2010 (2010-2011)	NJEIS had less than ten mediation requests; therefore no targets have been set for this indicator.
<u>2011</u> (2011-2012)	<u>NJEIS had less than ten mediation requests; therefore no targets have been set for this indicator.</u>
<u>2012</u> (2012-2013)	<u>NJEIS had less than ten mediation requests; therefore no targets have been set for this indicator.</u>

Improvement Activities/Timelines/Resources (through 2012):

Improvement Activities	Timelines	Resources
Maintain a procedural safeguards database to track requests for dispute resolution.	Ongoing Activity FFY 2006- <u>2012</u>	NJEIS State Staff
Link the REIC data regarding informal complaints by families resolved at regional level with the state procedural safeguards database.	<u>FFY 2010-2012*</u>	NJEIS State Staff
Evaluate and revise the procedural safeguards training format and materials following completion of federal regulations resulting from IDEA reauthorization.	Ongoing Activity FFY 2006- <u>2012</u>	NJEIS State Staff
Conduct bi-annual or more frequently as needed, training for Mediators.	Ongoing Activity FFY 2006- <u>2012</u>	NJEIS State Staff
Conduct periodic procedural safeguards trainings in each region for practitioners and families.	Ongoing Activity FFY 2006- <u>2012</u>	NJEIS Training Team
Conduct recruitment of mediators to ensure adequate coverage for hearings requested.	Ongoing Activity FFY 2006- <u>2012</u>	NJEIS State Staff REICs
Revise Family Rights Booklet.	Completed FFY 2009	NJEIS State Staff

*This activity has been delayed due to insufficient staffing resources.

Part C State Performance Plan (SPP) for FFY 2005-2012

Overview of the State Performance Plan Development: See overview description on page two

Monitoring Priority: Effective General Supervision Part C / General Supervision

Indicator 14: State reported data (618 and State Performance Plan and Annual Performance Report) are timely and accurate.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Measurement:

State reported data, including 618 data, State performance plan, and annual performance reports, are:

- a. Submitted on or before due dates (February 1 for child count and settings and November 1 for exiting and dispute resolution); and
- b. Accurate, including covering the correct year and following the correct measurement.

States are required to use the "Indicator 14 Data Rubric" for reporting data for this indicator (see Attachment B).

Overview of Issue/Description of System or Process:

In February 2004, the NJEIS contracted to develop and implement a new and expanded electronic data management system. The expanded electronic management information system was designed to include a child-specific system point of entry (SPOE) database using software developed by the Contractor, Covansys, and modified and enhanced for the NJEIS. The SPOE database provides aggregated data that is reviewed on an established schedule by various components of the system (REICs, lead agency, programs, and service coordinators). The data-base provides information on demographics, timelines, referrals, evaluation, service encounters, IFSPs, transition, etc. This central management system is designed to:

- Bring together demographic, service, and claims/reimbursement information for the full population of children and families in NJEIS.
- Enhance quality assurance by providing data, the key to outcome analysis.
- Provide data for service analysis including planned and delivered services by type and location.
- Maximize provider involvement and options through consolidated and streamlined enrollment and tracking of personnel credentials.
- Ensure that all practitioners are enrolled and meet the personnel requirements of the NJEIS.
- Collect transition data by local school district (Local Education Agency-LEA).
- Increase accountability by establishing data triggers on performance indicators and benchmarks as criteria for monitoring.
- Incorporate the Individualized Family Service Plan (IFSP) as the document that establishes need, and authorizes payment for services for eligible children and their families.
- Provide monthly explanation of benefits to families that will assist in verifying that services were delivered.
- Ensure the timely provision of services to eligible children and their family.
- Meet the financial and data reporting needs of various federal, state, and local fund sources and avoid duplication of effort to collect, maintain and report relevant data.
- Provide on-line access to information using appropriate safeguards to ensure the rights of the child and family.

The final data model for the System Point of Entry (SPOE) database, practitioner enrollment, help desk and service matrix web site were implemented April 2004. Software training on data entry and billing training was completed in by April 30, 2004. Data entry of over 10,000 child records was started on May 7, 2004 and record clean-up to ensure accuracy of data continues to date.

The SPOE database and data entry procedures were implemented to provide ongoing timely and accurate data. Procedures for paperwork flow and data entry have been designed to ensure that data is complete and accurate.

- Paperwork was standardized for referral, intake, family assessment, health and Medical status, IFSP development, team meetings and service encounter verification.
- The SPOE database design requires fields to ensure data is present and, as appropriate, accurate by using drop down menus.
- Data entry was placed at the REIC offices to ensure that paperwork was complete and accurate.
- Service coordination responds to REIC data concerns including identification of missing and/or inaccurate data.
- In the fall of 2004 a SPOE enhancement was implemented to collect reasons for when an initial IFSP meeting exceeds forty-five days from referral.
- NJEIS State staff conduct data desk audits to identify concerns regarding data integrity (e.g.; date of birth, race/ethnicity, and primary language).
- Questionable data is forwarded to REICs for review and clean-up.

Baseline Data for FFY 2004 (2004-2005):

State reported data, including 618 data, State performance plan, and annual performance reports, are:

- A. Submitted on or before due dates:
- Table 1 (Child Count, including race and ethnicity_
– Due February 1, 2005, Submitted on March 30, 2005
 - Table 2, 3, 4, 5 (Settings, Exiting, Services, Personnel)
– Due November 1, 2005, Submitted on November 1, 2005
 - State performance plan
– Due December 2, 2005, Submitted December 2, 2005
 - Annual Performance Report (2004)
– Due March 31, 2005, Submitted May 1, 2005
- B. Accurate (describe mechanisms for ensuring accuracy).
- The child specific electronic database, standardized state paperwork, and REIC responsibility for data entry assist in ensuring the integrity of data reported.

Discussion of Baseline Data:

Any delays in submission of 618 data and Annual Performance Reporting were related to the development and implementation of an electronic data system. The development and implementation activities included paperwork flow, data entry and data clean-up on over 15,000 child records during SFY 2004-2005. The intensity of these activities, as well as the training and technical assistance for the REICs and provider agencies took longer than anticipated. In order to ensure accuracy of data submitted additional clean-up was necessary and delayed timely submission.

Revised Baseline Data:

Revised baseline data are being submitted in response to OSEP's request for separate data related to timeliness and accuracy.

14a. 71.4% of state reported data including 618, SPP and APR are timely.

14b. 100% of state reported data including 618, SPP and APR are accurate.

Revised Targets: Revised targets are being submitted in response to OSEP's request for revision to targets to clarify the intent to reach 100% timeliness and 100% accuracy regarding data reporting.

SPP Template – Part C (3)

New Jersey
State

FFY	Measurable and Rigorous Target
2005 (2005-2006)	a. 100% of state reported data including 618, SPP and APR will be timely. b. 100% of state reported data including 618, SPP and APR will be accurate.
2006 (2006-2007)	a. 100% of state reported data including 618, SPP and APR will be timely. b. 100% of state reported data including 618, SPP and APR will be accurate.
2007 (2007-2008)	a. 100% of state reported data including 618, SPP and APR will be timely. b. 100% of state reported data including 618, SPP and APR will be accurate.
2008 (2008-2009)	a. 100% of state reported data including 618, SPP and APR will be timely. b. 100% of state reported data including 618, SPP and APR will be accurate.
2009 (2009-2010)	a. 100% of state reported data including 618, SPP and APR will be timely. b. 100% of state reported data including 618, SPP and APR will be accurate.
2010 (2010-2011)	a. 100% of state reported data including 618, SPP and APR will be timely. b. 100% of state reported data including 618, SPP and APR will be accurate.
2011 (2011-2012)	a. <u>100% of state reported data including 618, SPP and APR will be timely.</u> b. <u>100% of state reported data including 618, SPP and APR will be accurate.</u>
2012 (2012-2013)	a. <u>100% of state reported data including 618, SPP and APR will be timely.</u> b. <u>100% of state reported data including 618, SPP and APR will be accurate.</u>

Improvement Activities/Timelines/Resources (through FFY 2012):

Improvement Activities	Timelines	Resources
Maintain and enhance the electronic management information business rules to eliminate data entry errors through automated checks and balances.	Ongoing Activity FFY 2006- <u>2012</u>	SPOE Database Covansys Contractor
Conduct periodic data runs of SPOE database to identify and as needed correct missing and/or questionable data.	Ongoing Activity FFY 2006- <u>2012</u>	NJEIS Staff
Maintain a data entry check list and conduct follow-up of missing data or potential data errors.	Ongoing Activity FFY 2006- <u>2012</u>	REIC Staff
Continue enhancements of SPOE Database as described throughout the SPP.	Pending FFY 2009 RFP Release	NJEIS Staff SPOE Database Covansys Contractor