



New Jersey Turnpike Authority

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Request For Qualification Information

FOR

Construction Management / General Contracting
Services

FOR THE

New Jersey Turnpike Authority Security Program

Contract No. A100.196

May 12, 2011

REQUEST FOR QUALIFICATION INFORMATION
FOR
CONSTRUCTION MANAGEMENT / GENERAL CONTRACTING
SERVICES
FOR THE
NEW JERSEY TURNPIKE AUTHORITY SECURITY PROGRAM

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REQUEST FOR QUALIFICATION INFORMATION
FOR
CONSTRUCTION MANAGEMENT / GENERAL CONTRACTING
SERVICES
FOR THE
NEW JERSEY TURNPIKE AUTHORITY SECURITY PROGRAM

I. BACKGROUND

In an effort to better secure its facilities, operations, employees, contractors, and patrons, The New Jersey Turnpike Authority ("Authority") has undertaken a Security Program. The Authority is dedicated to the safe, efficient movement of people and goods over two of the busiest toll roads in America, the New Jersey Turnpike and the Garden State Parkway. These roadways are a critical link in the transportation network of the Northeastern United States and the safest, quickest and most convenient route for hundreds of thousands of commuters, truckers and recreational travelers every day.

The Authority is seeking a Construction Management / General Contracting ("CM/GC") firm that is committed to quality, has proven experience in the management and execution of construction within major highway and bridge transportation facilities and related operations infrastructure, and is capable of working with the Authority and bringing innovative approaches to the Security Program, including achieving timely completion of individual contract packages.

II. SCOPE OF WORK

- A. The Authority wishes to prequalify prospective bidders for CM/GC services. This is a special prequalification outside of the Authority's annual prequalification program and is open to both contracting and consulting firms who provide construction management services. The selection process will evaluate the ability of each prospective CM/GC firm to provide constructability review, cost estimating, scheduling, construction management and incidental general contracting services, among other services, for delivering the Security Program on schedule and within budget.

This RFQ is intended to determine which prospective bidders are qualified to submit a bid for the CM/GC Contract. Once qualifications are issued, the Authority intends to solicit bids for the Contract from those qualified using the contract agreement and low bid process.

- B. It is anticipated, but it is not guaranteed that the Work required by the Contract may include, but may not necessarily be limited to, the following:

Providing staff support to facilitate the procurement, administration, and performance of subcontractors for the execution of Security Program contract packages at various Authority facilities throughout the New Jersey Turnpike and the Garden State Parkway. The CM/GC will be involved in a broad range of activities during the design and construction phases of the contract packages. The CM/GC will not be allowed to self-perform or bid any of the Work in these contract packages.

A diverse range of projects requiring CM/GC execution and oversight in accordance with all the requirements of any permits, grants or licenses necessary during construction may

include, but may not necessarily be limited to, providing access restriction on and under bridges, strengthening/shielding bridge elements, providing structural standoff on both land and in waterways, installing electronic surveillance/detection systems, and all associated/inherent construction at various New Jersey Turnpike and Garden State Parkway facilities.

1. Pre-Construction Phase:

- i. Provide a thorough constructability review of design documents,
- ii. Provide information, estimates and schemes, and participate in decisions regarding construction phasing, temporary facilities, and temporary access routes/detours that will minimize disruption to facility operations during construction,
- iii. Provide information on construction materials, means and methods, systems, phasing, and costs to assist the Authority and the design team,
- iv. Review in-progress design documents and provide input and advice with respect to construction feasibility, alternative materials/methods, and long-lead material procurements,
- v. Review contract packages and suggest modifications to improve completeness or clarity,
- vi. Recommend division of work to facilitate bidding and award of trade contracts, considering such factors as minimizing disruption to existing facilities, improving or accelerating construction completion, minimizing trade jurisdiction disputes, and other related issues,
- vii. Preparation of construction cost estimates for the project and contract packages as requested,
- viii. Assist the Authority in preparing the necessary documentation to obtain all environmental and administrative permits for individual contract packages,
- ix. Conduct site visits for prospective subcontract bidders.

2. Construction Phase:

- i. Solicit, conduct contract bidding, and enter into contract for all construction work except any which is specifically exempted by the Authority,
- ii. Maintain a qualified, full time Superintendent with necessary staff at the job-site to coordinate and direct the work. Staff may include, but not be limited to, a Quality Control Manager, Project Controls Scheduling Engineer, and a Project Site Safety Manager,
- iii. Maintain the critical path schedule and report work progress status to the Authority, including the development of plans to recover/maintain the schedule, as needed,
- iv. Make available to the Authority all cost and budget estimates, including support materials and records. Provide monthly reports of actual costs and work progress as compared to estimated cost projections and scheduled work progress. Explain

all variations from the plan and provide information as requested by the Authority,

- v. Maintain and update construction phasing as the work progresses,
- vi. Establish an effective quality control plan for all construction, monitor the work as it is being performed to assure that materials furnished and quality of work performed are in accordance with the contract package plan and construction documents and report work quality to the Authority, including the development of implementation plans to effect necessary corrective action, as needed,
- vii. Establish a job-site safety program in accordance with the Authority's Owner Controlled Insurance Program,
- viii. Establish programs for maintaining current job-site records, labor relations, and Small Business Enterprise ("SBE") participation,
- ix. Review and process all payment applications of subcontractors and material suppliers in accordance with the terms of their contracts with a recommendation as to payment or partial/whole rejection for review and approval by the Authority. Review and resolve, on behalf of the Authority, all subcontractors' and/or material suppliers' requests,
- x. Schedule and conduct job meetings to ensure orderly progress of the work. Prepare and distribute record of the meetings to meeting attendees and the Authority,
- xi. Keep the Authority apprised of all disputes between the CM/GC and their subcontractors and/or suppliers and recommend resolution to the Authority. Process change orders and resolve requests for additional compensation.

3. Closeout Phase:

- i. Perform the necessary work to satisfy the Authority that the facility operates as designed before the facility is deemed substantially complete, including but not limited to, witnessing and managing site and system acceptance testing together with notifying the Authority of their right to witness any testing, maintaining and resolving punch list items,
- ii. Coordinate and expedite the submittal of record documents,
- iii. Organize and index operations manuals, maintenance manuals and warranties where required,
- iv. Coordinate and provide for all required training of Authority personnel in the operation of installed equipment and systems where required,
- v. Assist in securing occupancy permits, where required, and subcontractor maintenance bonds,
- vi. Prepare final reports, including collection and presentation of supporting documentation, of all construction costs. Prepare final change order and contract

package acceptance documents. Obtain partial and final lien waivers and releases from all subcontractors and material suppliers as approved by the Authority's Law Department.

- C. Prospective bidders are advised that this Contract will provide certain insurance through an Owner Controlled Insurance Program (known as "OCIP" or "Wrap-up Program") with only some insurance such as, but not limited to, Automobile Liability, to be provided by the Contractor and subcontractor(s). The Authority may provide and maintain at its own expense certain insurance for the benefit of the Contractor and subcontractor(s) as applicable performing the Work. Insurance provided by the Authority will apply only to operations of and for each Insured at the sites/facilities. It will not apply to the operations of any Insured in his regularly established main or branch office, factory, warehouse, fabrication shop or similar place or any other work site/facility.

III. ESTIMATED TOTAL CONSTRUCTION COST & CM/GC COMPENSATION

- A. The Authority estimates the value of construction work for the contract packages to total approximately **\$70 million**. The Authority will determine, at its sole discretion, the number of contract packages to be issued under this contract. It is the Authority's intent to award one CM/GC contract.
- B. CM/GC compensation will consist of a bid percentage fee mark up on construction **and** a direct labor multiplier for CM/GC staff employed at the project office or on site for work performed under Contract No. A100.196. The fee will be applied to the value of construction (compensation to subcontractors) while the labor multiplier will be applied to direct, unburdened labor rates as provided by the CM/GC. The CM/GC will solicit and enter into subcontractor contracts for execution of work under Contract No. A100.196.

IV. CONTRACT SCHEDULE

It is presently anticipated, but it is not guaranteed that Bid Documents for this Contract will be available to prequalified bidders by **July 7, 2011**. It is the present intention of the Authority to award this Contract by **August 30, 2011** and have all work under this Contract completed by year end 2015.

V. REQUIREMENTS

- A. The Authority will accept bids on the Contract only from prequalified bidders. Notwithstanding prequalification to bid, bidders will be required to submit certain additional information with their bids and, if so required, shall submit further information after the submission of bids, all as will be stated in the Contract. The Authority reserves the right at any time to modify, waive or vary the terms and conditions of this Request for Qualification Information ("RFQ").
- B. The successful bidder will be required to have its staff, its subcontractors, and any other staff working on the Contract at the construction site or the Authority's facility, including rental spaces undergo an identity check and a background check; and shall furnish proof that such check has been performed to the Authority's satisfaction. The Secure Worker Access Card ("SWAC card") meets the Authority's requirements and will be used for this Contract. More information about the "SWAC card" can be found at the website <http://www.secureworker.com>.

The Authority shall have the right to inspect any records of the Contractor, subcontractors or others to ensure compliance with this requirement. No employee of the Contractor, any subcontractor or any other staff will be permitted at the construction site or the Authority's facility, including rental spaces, without first submitting proof that such check has been performed and then subsequently issuing a photo identification badge.

- C. This Contract may require access to Authority Confidential and Privileged Security Information. Confidential and Privileged Security Information is information belonging to the Authority that, if it were subject to unauthorized access, modification, loss or misuse could seriously damage the Authority, public safety or homeland security. Protecting this sensitive information requires the application of uniform safeguarding measures to prevent the unauthorized disclosure of this sensitive security information and to control the authorized disclosure of this information within the Authority or when released by the Authority to outside entities. These procedures are identified in the Authority's "Security Program Handbook".

To that end, the Security Program currently maintains a secure collaborative Program Website to distribute and share project documentation. For any information deemed to be Confidential and Privileged Security Information, this Program Website is the only acceptable means of electronically distributing and sharing such information. A similar secure collaborative Program Website for use by the Contractor's staff, subcontractors' staff, any other staff and Authority designated personnel/representatives will be provided and maintained by the Authority for this Contract.

The CM/GC's Security Information Manager ("SIM") will be responsible for identifying members of the Contractor's team who will need to have access to the Program Website and for assuring that those select members have passed the requisite identity and background checks. The SIM will also be responsible for maintaining the team's Program Website access forms and user account access list for use by the Authority.

- D. The Authority maintains a set of CADD Standards which are available at:

<http://www.state.nj.us/turnpike/cadd-support.html>

As described in the CADD Standards, the Authority currently uses Bentley Microstation V8 (Version 8.05) and allows for the standards to be applied to the newer XM and V8i versions if used by the prospective bidder. The CM/GC will be responsible for having a CADD Manager who is fully qualified to use Microstation V8 and for assuring that all drawings developed or modified as a part of the CM/CG's work comply with these CADD Standards. The Authority maintains a Technical Point of Contact within the CADD Standards. The Technical Point of Contact will audit select drawings submitted by the CM/GC for compliance with the CADD Standards.

- E. Because of the sensitive nature of this work, the successful bidder of this Contract will be required to execute and return **Non-Disclosure and Confidentiality Agreements** prior to the start of the Contract.
- F. The Authority will require the successful bidder to furnish a Contract Bond.
- G. Prequalification to bid will not preclude the Chief Engineer of the Authority ("Chief Engineer") from subsequently concluding, based upon either (a) analysis of additional information which

may come to his attention or upon further analysis of the information upon which his approval was based, or (b) analysis of any information required pursuant to the Clause entitled "Bidding Requirements and Conditions" of the "General Provisions" section of the Contract, that a bidder is not qualified to perform the Contract. Further, no approval shall limit or impair the reservation by the Authority of the unqualified right, in its sole and absolute discretion, to waive bid defects, reject all bids, or to accept that bid, if any, which in its judgment will under all circumstances best serve the public interest, as more fully set forth in the Contract.

- H. It is presently anticipated that the Contract(s) will include a provision that the Contractor shall use and document every good faith effort to meet certain stated goals for firms certified by the Authority as Small Business Enterprises (SBEs) all as will be further set forth in the Contract.
- I. The Contract(s) will contain Clauses entitled "Prequalification of Prospective Bidders" and "Disqualification of Bidders" and other requirements. By bidding on the Contract, the prospective bidder (and the other persons and entities connected with the prospective bidder to whom such by their terms apply) will be deemed to have made the certifications included in the Contract documents unless the prospective bidder submits a statement with its bid explaining why any such certification(s) cannot be made.

VI. REQUIREMENTS AND INFORMATION TO BE SUBMITTED

Each prospective bidder shall submit the information requested below **in 8 1/2 in. by 11 in. bound volumes**. The information shall be presented **in an organized fashion and shall be categorized and sub-categorized in exact accordance with the following sections and sub-sections**. The bound volumes shall **include tabs** for quick reference to the various sections and sub-sections described below. Prequalification information submitted that does not follow these submittal format requirements as described above may not be taken into consideration.

Note: At any time after the opening of the responses, the Authority may request additional information relating to the prospective bidder's qualifications. Any RFQ or Contract Bid Documents submitted by a firm under this RFQ solicitation constitutes a public document that will be made available to the public upon request. Should the prospective bidder believe that any portion of the RFQ is non-disclosable pursuant to law, it may take appropriate action to protect those interests.

A. CONTRACTOR'S QUALIFICATION STATEMENT AND QUALIFYING AFFIDAVIT

The prospective bidder must complete and submit the attached Contractor's Qualification Statement and Qualifying Affidavit included in Attachment I.

Information required in sections B. through I below that is not already included on the Contractor's Qualification Statement or that requires additional explanation, clarification, etc. shall be included separately in accordance with the submittal format requirements described above. Information below to be included separately shall be clearly noted on the Contractor's Qualification Statement.

B. GENERAL INFORMATION

The prospective bidder must provide the following information:

1. Name and address of the prospective bidder.
2. Company organizational chart showing division of responsibility within its organization and listing titles, duties, and job descriptions for company key personnel (construction management, technical engineering, quality control, site/facility safety, and information technology).
3. Name of contact person(s), address(es), and telephone number(s) of proposed subcontractor(s) and SBEs, if any, for performance of CM/GC services. Submit verifiable references for each proposed subcontractor and SBE.
4. Name, title and telephone number of the person to contact in the event that further information is required by the Authority.

C. GENERAL QUALIFICATION INFORMATION

The prospective bidder must provide the following to the satisfaction of the Chief Engineer:

1. That it can supply the labor, supervision, materials, equipment, insurance, contract bonds, and required expertise to manage the work of the Contract to completion.
2. That it possesses the administrative and management procedures for: (i) financial record keeping, (ii) bidding and payment application processes, (iii) contract estimating, (iv) methods to review specifications and other bid documentation, and (v) procedures for determining current federal, state, and local environmental, health, and safety regulations.
3. That it possesses written safety and record keeping procedures. Safety procedures will comply with the minimum guidelines as set forth by the Authority and which are available at www.state.nj.us/turnpike/professional-services.html by following the link titled "NJTA Minimum Guidelines for Contractor's OCIP Health and Safety Plan (HASP)" under the Engineering Reference Material section. Bid documents may contain additional requirements.

D. PREREQUISITES

The prospective bidder must demonstrate the following experience to the satisfaction of the Chief Engineer. Each account of relevant experience shall include, at a minimum, the following information:

1. The prospective bidder shall demonstrate to the satisfaction of the Chief Engineer that it has been a CM/GC contracting firm conducting business operations in at least one major metropolitan area for a **minimum of five (5) years** performing similar scope of services to those described within this document.
2. The prospective bidder shall clearly demonstrate its qualifications as a construction manager. The prospective bidder shall submit supporting documentation of **three (3) successfully completed contracts, each in excess of \$10 million (construction in place)**, in which it performed as a construction manager during the last five (5) years, performing a similar scope of services to those described in this document. The work must have been completed skillfully in a satisfactory manner, on time, and within budget.

3. **The name and qualifications of the individual who will function as Project Manager for the duration of the work of the Contract.** The prospective bidder's Project Manager must have a **minimum of ten (10) years** of construction management and general contracting experience on CM/GC contracts that were comparable in size and type to the subject Contract. **This includes simultaneous management at various occupied/operational sites and/or facilities.**

E. PERSONNEL

The prospective bidder shall submit the following:

1. The CM/GC shall appoint a senior management level employee to be the Contractor's SIM. This person will be responsible for implementing and maintaining the CM/GC's program for protecting Confidential and Privileged Security Information in accordance with the Authority's predefined procedures as outlined in their "Security Program Handbook". An alternate SIM shall also be appointed. The alternate will assume the responsibilities of the primary SIM in his absence. Identify this person.
2. A staff resource utilization plan demonstrating the availability of Contract key personnel, as identified in the below organizational chart, to support this Contract for its duration, including a commitment form that identifies each key person, their title and their availability expressed in a percentage (%) to devote to this Contract, and their need, if any, to devote their availability to any other non-related Contracts.
3. A proposed organizational chart for the Work listing titles, duties, and job descriptions of Contract key personnel (construction management, constructability reviews, cost estimating, technical engineering, permitting, quality control, site/facility safety, information technology, CADD and administrative) to be assigned for the duration of the Work.
4. Resumes of all key personnel, as identified in the above organizational chart, highlighting their prior experience relevant to this Contract.

F. FINANCIAL STATEMENTS

The following information must be submitted:

1. Certified audited financial statements, including applicable notes, reflecting the prospective bidder's assets, liabilities, net worth, expenses, profit or loss, and cash flow for the past three (3) years.
2. If for the current year such certified financial statements are not available, submit either reviewed or compiled statements from an independent accountant setting forth the information described in subparagraph (1), above.
3. The prospective bidder must provide demonstrated evidence that he can provide a Contract Bond as indicated in paragraph (V. F), above from a surety company duly authorized to carry on the business of suretyship in the state(s) in which the construction site/facility is located, whose name appears on the current list of the Treasury

Department of the United States as acceptable as surety upon federal contracts. As an alternative, the prospective bidder, if not bondable, must provide demonstrated evidence that he has acted as a CM/GC and was able to secure Contract Bonds from his subcontractors which would also protect the Authority.

4. Where statements submitted pursuant to either subparagraph (1) or (2), above show the position of the prospective bidder as of a date more than forty-five (45) days prior to the date on which responses to this RFQ are due, the prospective bidder shall also submit a statement in writing, signed by a duly authorized representative of the prospective bidder, that the present financial condition of the prospective bidder is at least as good as that shown on the statements submitted.

G. DISCLOSURE OF PAST OR CURRENT LITIGATION

The following information must be submitted (if bidder is a team, submit for each team member):

1. The prospective bidder shall provide certification that it has not been the subject of any legal or administrative action or proceedings brought by the State of New Jersey Department of Labor and Workforce Development (NJLWD), the United States Environmental Protection Agency (USEPA), the New Jersey Department of Environmental Protection (NJDEP), the United States Occupational Safety and Health Administration (OSHA) or any other federal, state or local government agency having safety, health or environmental responsibility or function.
2. If the prospective bidder has been the subject of any administrative or legal actions initiated by any federal, state or local government agencies having safety, health or environmental responsibilities or functions, during the three (3) years preceding the date for submission of responses to this RFQ, such prospective bidder shall submit a description of same and describe how such actions were or are being resolved.
3. If the prospective bidder has been associated with projects that have been prematurely terminated, such bidder or participant shall submit a description of such projects including circumstances surrounding termination.
4. The bid documents will contain other disclosure requirements pertaining to integrity and honesty.

H. SBE PARTICIPATION

The prospective bidder shall identify prior Contracts performed by the prospective bidder, which contained stated goals for SBE participation and a description of how such goals were met or exceeded.

I. CURRENT WORKLOAD DISCLOSURE

The prospective bidder shall provide a statement of work on hand, including any work on which a bid has been submitted, containing a description of the work, the dollar value, the location by city and state, the current percentage of completion, and the expected date for

completion.

VII. SUBMISSION INSTRUCTIONS

- A. The prospective bidder shall submit five (5) hard copies of the required qualification information in sufficient time so that the Authority receives it no later than 2:00 p.m. on **June 13, 2011**. Prospective bidders will be fully responsible for the delivery of the RFQ submittal. Reliance upon U.S. Mail or other carriers is at the prospective bidder's risk. Late, faxed or e-mailed RFQ submittals will not be considered. RFQ submittals hand delivered or delivered by an overnight delivery service shall be addressed to:

New Jersey Turnpike Authority
581 Main Street
Woodbridge, NJ 07095
Attn: Engineering Department, Structures Design Section
Sheri L. Malloy, P.E., Project Engineer

RFQ submittals transmitted via U.S. Mail should be addressed to:

New Jersey Turnpike Authority
PO Box 5042
Woodbridge, NJ 07095-5042
Attn: Engineering Department, Structures Design Section
Sheri L. Malloy, P.E., Project Engineer

The cover of your RFQ submittal must include the Contract Number and the title of the RFQ.

- B. In order to expedite the evaluation of the qualification information furnished, the prospective bidder must complete and submit the attached Contractor's Qualification Statement and its related attachments. Responses that fail to adhere to this stipulation may be excluded from consideration.
- C. Company brochures alone shall not be submitted for the purpose of demonstrating experience and technical expertise. Submittals should be tailored to the specific requirements of this RFQ.
- D. Inquiries pertaining to this RFQ are to be directed in writing to Sheri L. Malloy, P.E., Project Engineer, New Jersey Turnpike Authority, PO Box 5042, Woodbridge, NJ 07095-5042. E-mail inquiries to malloy@turnpike.state.nj.us are acceptable. Inquiries by FAX are also acceptable. The Fax number is (732) 750-5393. **The deadline for inquiries is June 6, 2011.** The Authority will respond to all written inquiries received. Each inquiry will be stated and a written response provided. Responses will be posted on the Authority's Internet website at www.state.nj.us/turnpike/buss under "Professional Services" on or before June 7, 2011. Prospective bidders will be responsible for submitting their RFQs in accordance with the requirements herein and any modifications, revisions, and/or clarifications thereto as a result of the posted responses. Late inquiries may not be reviewed or considered. Inquiries to Authority personnel other than Sheri L. Malloy will not be considered.

VIII. NOTIFICATION

Notification as to whether or not a prospective bidder has been prequalified will be made only by a notice in writing, signed by the Chief Engineer or his designated representative on behalf

of the Authority and mailed or delivered to the office designated by the prospective bidder in its response to this RFQ. The notification letter will provide a basis for the Chief Engineer's decision as to whether or not to prequalify a prospective bidder and will be considered final. No supplemental information will be considered after issuance of the Chief Engineer's notification letter. It is presently anticipated, but not guaranteed, that prospective bidders will be notified whether or not they have been prequalified to bid on the Contract by **July 7, 2011**.

IX. SUMMARY OF ANTICIPATED RFQ AND CM/GC CONTRACT SCHEDULE

Date of Solicitation for RFQs	May 12, 2011
Deadline Date for RFQ Inquiries	June 6, 2011
Deadline Date for Submission of RFQ's	June 13, 2011
Notification of Qualification by Chief Engineer	July 7, 2011
Availability of CM/GC Contract Bid Documents	July 7, 2011
Deadline Date for Submission of CM/GC Contract Bid Documents	August 4, 2011
Authority Approval/CM/GC Contract Award	August 30, 2011
Notice to Proceed	September 15, 2011
CM/GC Contract Completion	December 31, 2015

X. RIGHTS OF THE AUTHORITY

The Authority reserves all its rights at law and equity with respect to this RFQ including, but not limited to, the unqualified right, at any time and in its sole discretion, to change or modify this RFQ, to reject any and all submissions, to waive defects or irregularities in submissions, to seek clarification of submissions, to request additional information, to request any or all submitters to make a presentation, to undertake discussions with one or more submitters. The Authority may, but shall not be obliged to, consider incomplete submissions or to request or accept additional material or information. The holding of any discussions with any submitters shall not constitute prequalification.

No submitter shall have any rights against the Authority arising from the contents of this RFQ, the receipt of submissions, or incorporation in or rejection of information contained in any submissions. The Authority makes no representations, warranties, or guarantees that the information contained herein, or in any addenda hereto, is accurate, complete, or timely or that such information accurately represents the conditions that would be encountered during the performance of the contract. The furnishing of such information by the Authority shall not create or be deemed to create any obligation or liability upon it for any reason whatsoever and each submitter, by making a submission or a bid, expressly agrees that it has not relied upon the foregoing information, and that it shall not hold the Authority liable or responsible therefore in any manner whatsoever. Accordingly, nothing contained herein and no representation, statement of promise, of the Authority, its directors, officers, agents, representatives, or employees, oral or in writing, shall impair or limit the effect of the warranties of the Proposer required by this bid or Contract and the submitter agrees that it shall not hold the Authority liable or responsible therefore in any manner whatsoever.

No compensation shall be due a submitter for preparation of qualification information or a bid.

Neither the Commissioners of the Authority, nor any of them, nor any officer, agent or employee thereof shall be charged personally with any liability by a Proposer or another held liable to a Proposer or another under any term or provision of this RFQ or any

statements made herein or because of the submission or attempted submission of qualification information or other response hereto or otherwise.

ATTACHMENT I
CONTRACTOR'S QUALIFICATION STATEMENT AND QUALIFYING AFFIDAVIT

**NEW JERSEY TURNPIKE AUTHORITY
CONTRACTOR'S QUALIFICATION STATEMENT
FOR
CONSTRUCTION MANAGEMENT / GENERAL CONTRACTING SERVICES
FOR THE
NEW JERSEY TURNPIKE AUTHORITY SECURITY PROGRAM
CONTRACT NO. A100.196
MAY 12, 2011**

<Please type or print clearly>

A. Contractor's General Business Information:

- Statement submitted by:
 - Name of Organization: _____
 - Name of Individual: _____
 - Title: _____
 - Address: _____

 - Telephone No.: _____
 - Name & Telephone No. _____
of Contact Person if _____
other than above: _____

- Indicate the type of work generally performed by your firm:

B. Relevant Experience and Past Performance:

- Submit **Schedule A (Summary of Contracts Completed and Relative Prior Experience)**, attached, which summarizes CM/GC contracts completed by the proposer, along with pertinent back-up information that documents **your firm's meeting the requirements indicated in paragraph II.B.** Prospective bidders must submit requested information along with the completed Schedule A Summary Sheet(s) attached to back-up documents or your response to this RFQ will be excluded from consideration.
- Submit **Schedule B (Summary of Key CM/GC Supervision Personnel)**, attached, which summarizes the name and qualification of the individual who will function as the **Project Manager** as well as any other **key CM/GC supervision personnel** to be assigned to the Contract along with the anticipated function and relevant experience of each person. The completed Schedule B Summary Sheet(s) must be attached to required resume information or your response to this RFQ will be excluded from consideration.
- Submit **Schedule C (Summary of Work On-Hand/Bids Submitted)**, attached, which summarizes current projects currently under construction (**work on hand**) by your firm, along with pertinent back-up information. Prospective bidder must submit requested information along with the completed Schedule C Summary Sheet(s) attached to backup documents or your response to this RFQ will be excluded from consideration.
- Does your firm have experience managing simultaneous work on CM/GC contracts that are comparable in size and type to the subject Contract at continuously operating, occupied, major highway and transportation facilities in major metropolitan areas?

Yes No

➤ If Yes, indicate project, locations, construction budget, owner, etc.:

➤ If No, indicate how you plan on meeting this requirement:

- Does your firm have experience providing detailed review of final design documents for constructability, value engineering and cost savings opportunities including cost estimates that reflect the current market conditions and pricing for work as described in paragraph II.B.?

Yes No

➤ If Yes, indicate project, locations, construction budget, owner, etc.:

➤ If No, indicate how you plan on meeting this requirement:

▪ Does your firm have experience soliciting bids and entering into contract with subcontractors or the execution of contract work?

Yes No

➤ If Yes, indicate project, locations, construction budget, owner, etc.:

➤ If No, indicate how you plan on meeting this requirement:

▪ Does your firm have experience witnessing and managing site and system acceptance testing including maintaining and resolving punch list items?

Yes No

➤ If Yes, indicate project, locations, construction budget, owner, etc.:

➤ If No, indicate how you plan on meeting this requirement:

- Does your firm have experience coordinating and/or working in and around active railways subject to specific site safety and operation requirements within the past five (5) years?

Yes No

- If Yes, indicate project, locations, construction budget, owner, etc.:

- If No, indicate how you plan on meeting this requirement:

- Does your firm have experience managing and coordinating utility relocation work simultaneously at multiple major highway and transportation facilities throughout a metropolitan area within the past five (5) years?

Yes No

- If Yes, indicate project, construction budget, owner, etc.:

- If No, indicate how you plan on meeting this requirement:

- Has your firm ever failed to complete any construction management contract awarded it?

Yes No

- If Yes, describe the circumstances in a separate document and attach as a supplement hereto.
- In the last five (5) years has your firm ever failed to substantially complete a contract in a timely manner?
 - Yes No
- If Yes, describe the circumstances in a separate document and attach as a supplement hereto.
- Identify prior contracts that contained stated goals for SBE participation and how such goals were met or exceeded:

Contract	Stated Goals	Actual % Obtained	Comments

C. Financial Information:

- Can your Organization provide a Contract Bond?
 - Yes No
- Indicate approximate total bonding capacity: _____
- Indicate name of your proposed surety company and name, address and phone number of agent:
 - Name: _____
 - Address: _____
 - Telephone No.: _____

- **Submit letter from your surety documenting your ability to submit the required Bond.**

D. Certification:

I hereby certify that the information submitted herewith, including attachments, is true to the best of my knowledge and belief.

(Type or print business name of prospective bidder)

By: _____
(Signature of officer of prospective bidder)

(Type or print name of officer of prospective bidder)

(Type or print title of officer of prospective bidder)

(Type or print date)

SCHEDULE A

SUMMARY OF CONTRACTS COMPLETED AND RELATIVE PRIOR EXPERIENCE

(Schedule Must Be Attached To Pertinent Back-Up Documents)

PROSPECTIVE BIDDER: _____

Project Name, Location and Description (as described in VI-D, 2)	Relative Prior Experience*			Owner**	Design Engineer**	Date Completed	Price for Completed Construction in Place***	Firm or Team Member that Meets Requirements
	Work Category	Yes	No					
	II-B.1 Pre-Construction							
	II-B.2 Construction							
	II-B.3 Close-Out							
	II-B.1 Pre-Construction							
	II-B.2 Construction							
	II-B.3 Close-Out							
	II-B.1 Pre-Construction							
	II-B.2 Construction							
	II-B.3 Close-Out							
	II-B.1 Pre-Construction							
	II-B.2 Construction							
	II-B.3 Close-Out							
	II-B.1 Pre-Construction							
	II-B.2 Construction							
	II-B.3 Close-Out							
	II-B.1 Pre-Construction							
	II-B.2 Construction							
	II-B.3 Close-Out							
	II-B.1 Pre-Construction							
	II-B.2 Construction							
	II-B.3 Close-Out							

Notes: * Indicate Relative Prior Experience as outlined in paragraph II-B.

** Include Name, Address and Phone No. of Reference Contact.

*** Meet requirements outlined in VI-D, 2.

SCHEDULE B

SUMMARY OF KEY CONSTRUCTION SUPERVISION PERSONNEL

(Schedule Must Be Attached To Pertinent Back-Up Documents)

PROSPECTIVE BIDDER: _____

Name	Position	Date Started with this Organization	Date Started in Construction	Prior Positions and Experience in Construction Management	Relative Prior Experience*		
					Work Category	Yes	No
					II-B.1 Pre-Construction		
					II-B.2 Construction		
					II-B.3 Close-Out		
					II-B.1 Pre-Construction		
					II-B.2 Construction		
					II-B.3 Close-Out		
					II-B.1 Pre-Construction		
					II-B.2 Construction		
					II-B.3 Close-Out		
					II-B.1 Pre-Construction		
					II-B.2 Construction		
					II-B.3 Close-Out		
					II-B.1 Pre-Construction		
					II-B.2 Construction		
					II-B.3 Close-Out		
					II-B.1 Pre-Construction		
					II-B.2 Construction		
					II-B.3 Close-Out		

Notes: * Indicate Relative Prior Experience as outlined in paragraph II-B.

SCHEDULE C

SUMMARY WORK ON HAND / BIDS SUBMITTED

(Schedule Must Be Attached To Pertinent Back-Up Documents)

PROSPECTIVE BIDDER: _____

WORK ON HAND:

Project Name, Location and Description	Owner*	Design Engineer*	Contract Price**	Scheduled Completion Date

BIDS SUBMITTED:

Project Name, Location and Description	Owner*	Design Engineer*	Bid Price**	Low Bidder (Yes or No)	Anticipated Award Date / Contract Duration

Notes: * Include Name, Address and Phone No. of Reference Contact.

** Indicate Amount of Prospective Bidder's Contract.

QUALIFYING AFFIDAVIT

STATE OF

ss:

COUNTY OF

I, _____ the _____
Pres. Vice Pres., Owner or Partner

of _____, being first duly sworn, deposes and says:
Company

1. That the _____ (company) (Owner) wishes to be prequalified to bid on the New Jersey Turnpike Authority Security Program CM/GC Contract.
2. That _____ (Company) (Owner) wishes to demonstrate moral integrity in accordance with the Procedure for Prequalification and Award on New Jersey Turnpike Authority Construction Contracts cited in N.J.A.C. 19.9-2.7, and nay amendments and supplements thereto.
3. That in accordance with said Procedures as of the date of signing this Affidavit, neither the _____ Company, or any of its Owners, Officers, or Directors are involved in any Federal, State or other Governmental investigation concerning criminal or quasi criminal violations, except as follows: (if none, so state).
4. Deponent further states neither the _____ Company, nor any of its Owners, Officers or Directors, has ever engaged in any violation of a Federal or State Criminal Statue; or ever been indicted, convicted, or entered a plea of guilty, non vult or nolo contendere to any violation of a Federal or State Criminal Statue; or ever engaged in violation of any nature regarding work on contracts performed by it, including but not limited to OSHA and NJDEP violations, except as follows: (If none, so state).
5. That any depository, vendor or other agency herein or later named is hereby authorized to supply the New Jersey Turnpike Authority with any information necessary to verify any statement made in this Contractor's Qualifying Statement.
6. That as of the date of signing this Affidavit, outstanding liens filed against this Company are as follows: (if none, so state).

7. That the undersigned, being authorized to act on behalf of _____ Company, certifies that I am personally acquainted with the operations of said Company, have full knowledge of the factual basis comprising the contents of this Contractor's Qualifying Statement and that the same are true to my knowledge.

8. That if a corporation, the corporation _____ incorporated in the State of New Jersey. If
(is, is not)
not a New Jersey Corporation, the Corporation _____ authorized to do
business in _____
(is, is not)
the State of New Jersey (attach Certificate of Authorization from New Jersey Secretary of State). If
not a corporation, please indicate if a partnership or sole proprietorship: _____
(partnership or sole proprietorship)
and the State of formation: _____ (indicate State). (Attach a Certificate of
Authority from New Jersey Secretary of State if not formed in the State of New Jersey).

9. That in accordance with said procedures as of the date of signing this Affidavit, _____ Company has not be disqualified from future bidding on any State of New Jersey project, including but not limited to projects of any State Agency, the Turnpike Authority or any other independent authority, by reason of claiming its rights to withdraw a bid because of unilateral mistake, and has not been disqualified from future bidding on any State of New Jersey project, including but not limited to, projects of any State Agency, the Turnpike Authority or any other independent authority, for any other reason, except as follows: (If none, so state).

10. That this Contractor's Qualifying Statement is made to induce the New Jersey Turnpike Authority to accept a prequalification and be permitted to bid on contracts classified and rated under 1. Above, knowing that the said New Jersey Turnpike authority relies upon the truth of the statements herein contained.

Company

Sworn and subscribed to before me this

_____ day of _____ 10_____

Signature

Notary Public

Title

(Corporate Seal)

ATTACHMENT II
CM/GC CHECKLIST FOR INFORMATION TO BE SUBMITTED (RFQ SECTION VI.)

CM/GC CHECKLIST FOR INFORMATION TO BE SUBMITTED (RFQ SECTION VI.)

- Submittal Format
- Contractor's Qualification Statement and Qualifying Affidavit
- General Information
- General Qualification Information
- Prerequisites
- Personnel
- Financial Statements
- Disclosure of Past or Current Litigation
- SBE Participation
- Current Workload Disclosure